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Search for an invisibly decaying Higgs boson or dark matter candidates produced in association with a Z boson in pp collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

The ATLAS Collaboration

A search for an invisibly decaying Higgs boson or dark matter candidates produced in association with a leptonically decaying Z boson in proton–proton collisions at $\sqrt{s} = 13$ TeV is presented. This search uses 36.1 fb^{-1} of data collected by the ATLAS experiment at the Large Hadron Collider. No significant deviation from the expectation of the Standard Model backgrounds is observed. Assuming the Standard Model ZH production cross-section, an observed (expected) upper limit of 67% (39%) at the 95% confidence level is set on the branching ratio of invisible decays of the Higgs boson with mass $m_H = 125$ GeV. The corresponding limits on the production cross-section of the ZH process with the invisible Higgs boson decays are also presented. Furthermore, exclusion limits on the dark matter candidate and mediator masses are reported in the framework of simplified dark matter models.

1 Introduction

The observation of the Higgs boson at the LHC [1, 2] not only signified a success of the Standard Model (SM), but also opened a unique opportunity to search for new physics. In the SM, the invisible decay of the Higgs boson ($H \rightarrow ZZ \rightarrow \nu\nu\nu\nu$) has a branching ratio $B_{H \rightarrow \text{inv}}$ of 1.06×10^{-3} for $m_H = 125$ GeV [3]. A larger $B_{H \rightarrow \text{inv}}$ can exist in many extensions of the SM. For example, a Higgs boson can decay to light neutralinos [4, 5], graviscalars in extra-dimension models [6, 7], Majorons [8–10], neutrinos [8, 11, 12], or dark matter (DM) through the Higgs portal model [13, 14]. Observation of a $B_{H \rightarrow \text{inv}}$ significantly above the SM value would give a strong indication for physics beyond the SM (BSM).

The existence of DM is supported by a large body of astrophysical measurements, however its nature still remains mysterious. One of the hypotheses assumes that DM is composed of weakly interacting massive particles (WIMPs) [15] that are nearly invisible to particle detectors. Experiments at the LHC can search for WIMPs produced in association with a detectable final state, and provide sensitive constraints on low-mass WIMP production [16–18]. Moreover, models with a sizable $B_{H \rightarrow \text{inv}}$ often involve a Higgs boson decaying into WIMPs, and thus, studying $B_{H \rightarrow \text{inv}}$ gives a unique probe into DM through its coupling to the Higgs boson.

The study of LEP data found no evidence of an invisibly decaying Higgs boson with $m_H < 114.4$ GeV [19], assuming a neutral CP-even Higgs boson produced at the SM rate and decaying with $B_{H \rightarrow \text{inv}} = 100\%$. Both the ATLAS and CMS collaborations have extended the study to a higher mass range and reported their search results in multiple final states [20–27]. Currently, the most stringent upper limit on $B_{H \rightarrow \text{inv}}$ is around 24% at the 95% confidence level (CL) [23, 25] with $m_H = 125$ GeV. With certain assumptions, constraints on $B_{H \rightarrow \text{inv}}$ can be inferred from the visible decay channels, and an upper limit of 34% was obtained using LHC Run-1 data [28]. Similarly, DM has been searched for in a range of final states at the LHC [29–43], and no hints have been found to date.

This Letter reports a search for an invisibly decaying Higgs boson with $m_H = 125$ GeV or WIMPs produced in association with a Z boson using 36.1 fb^{-1} of data collected by the ATLAS detector in 13 TeV pp collisions. The search is carried out in a final state with two isolated electrons or muons from a Z boson decay and large missing transverse momentum (E_T^{miss}) due to an invisible Higgs boson decay or a WIMP pair ($\ell\ell + E_T^{\text{miss}}$). The BSM signal processes typically result in larger E_T^{miss} than in background events. If no obvious deviation from the SM prediction is found, the observed E_T^{miss} distribution is used to constrain the existence of new phenomena. An upper limit on $B_{H \rightarrow \text{inv}}$ for $m_H = 125$ GeV can be derived assuming the SM ZH production cross-section. In simplified DM models [17, 44, 45], WIMP production is mediated by a spin-0 or spin-1 BSM particle (mediator) giving coupling constants to quarks (g_q) and WIMPs (g_χ). Fixing the coupling constants, exclusion limits on the WIMP mass (m_χ) and the mediator mass (m_{med}) can be set. This search adopts a benchmark scenario where the WIMP pair is produced through the s -channel exchange of an axial-vector mediator. This choice is motivated by the findings in Ref. [16], which indicated that LHC searches can be more sensitive than direct searches to WIMP production in this particular model with an axial-vector mediator. Figure 1 gives the leading tree-level diagrams for both ZH production and WIMP production in the benchmark model.

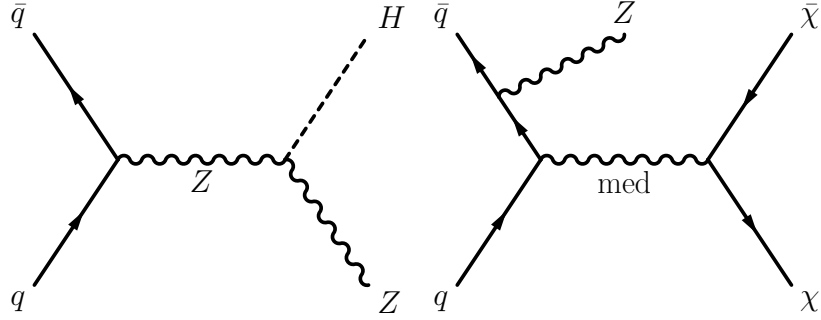


Figure 1: Leading tree-level diagrams for the ZH production (left) and the WIMP pair production in the benchmark model (right).

2 ATLAS detector

The ATLAS detector [46, 47] is a large multi-purpose apparatus with a forward-backward symmetric cylindrical geometry¹ and nearly 4π coverage in solid angle. The collision point is encompassed by an inner tracking detector (ID) surrounded by a 2 T superconducting solenoid, electromagnetic (EM) and hadronic calorimeters, and a muon spectrometer (MS) with a toroidal magnetic field. The ID provides tracking for charged particles for $|\eta| < 2.5$. It consists of silicon pixel and strip detectors surrounded by a straw tube tracker that also provides transition radiation measurements for electron identification. The EM and hadronic calorimeter system covers the pseudorapidity range $|\eta| < 4.9$. For $|\eta| < 2.5$, the liquid-argon EM calorimeter is finely segmented and plays an important role in electron and photon identification. The MS includes fast trigger chambers ($|\eta| < 2.4$) and high-precision tracking chambers covering $|\eta| < 2.7$. A two-level trigger system selects events to be recorded for offline physics analysis [48].

3 Data and simulation

This search utilises data collected with single-lepton triggers by the ATLAS detector during the 2015 and 2016 data-taking periods. A combination of a lower p_T threshold trigger with an isolation requirement and a higher p_T threshold trigger without any isolation requirement is used. The p_T threshold of the isolated electron (muon) trigger ranges from 24 (20) to 26 GeV depending on the instantaneous luminosity. The higher p_T threshold is 50 (60) GeV for the electron (muon) case over all the data-taking periods. The overall trigger efficiency is above 98% for the BSM signal processes after the full event selection described in Section 4.

To study the invisible Higgs boson decays, Monte Carlo events are produced for the SM ZH process with a subsequent Z boson decay into a dilepton pair and the $H \rightarrow ZZ \rightarrow \nu\nu\nu\nu$ decay ($ZH \rightarrow \ell\ell + \text{inv}$). The ZH signal processes from both the quark–antiquark ($qqZH$) and gluon–gluon ($ggZH$) initial

¹ ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z -axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upward. Cylindrical coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln \tan(\theta/2)$.

states are modelled with POWHEG-Box v2 [49, 50] using the CT10 [51] parton distribution function (PDF) and interfaced to PYTHIA8.186 [52] for parton showering. The kinematic distributions of $ZH \rightarrow \ell\ell + \text{inv}$ events are described at next-to-leading-order (NLO) in QCD. Additionally, for the $qqZH$ process, the MINLO [53] method is applied to improve the gluon resummation calculation, and the p_T^Z distribution is corrected to NLO electroweak (EW) accuracy with a reweighting approach detailed in Ref. [3]. The SM ZH production cross-section is computed with next-to-next-to-leading-order (NNLO) QCD and NLO EW precision and found to be 884 fb [3] with $m_H = 125$ GeV at 13 TeV. The DM signal is modelled with the leading-order MADGRAPH5_AMC@NLO matrix element [54] using NNPDF3.0 [55] and showered with PYTHIA8.186. DM signal events with an axial-vector mediator and fermionic WIMPs are produced for different m_{med} and m_χ , both in a range from 10 to 1000 GeV. As recommended in Ref. [44], the DM events are generated by choosing $g_q = 0.25$, $g_\chi = 1$, and a minimal mediator width. The AZNLO [56] and A14 [57] parameter sets are used to tune the PYTHIA8.186 parton-shower for the simulation of the $ZH \rightarrow \ell\ell + \text{inv}$ and DM signals, respectively.

The backgrounds to this search include various diboson processes (ZZ , WZ , WW), the production of $t\bar{t}$, Wt , a W or Z boson in association with jets ($W + \text{jets}$, $Z + \text{jets}$), and rare processes such as three-boson production (denoted by VVV with $V = W$ or Z) and the production of $t\bar{t}$ accompanied by one or two vector bosons ($t\bar{t}V(V)$). These background processes can result in the $\ell\ell + E_T^{\text{miss}}$ final state with at least one boson decaying leptonically.

Production of ZZ events is modelled with POWHEG-Box v2 and gg2vv3.1.6 [58, 59] for the quark-antiquark ($qqZZ$) and gluon-gluon ($ggZZ$) initial states, respectively. The $qqZZ$ and $ggZZ$ events are described at NLO and LO QCD accuracies, respectively. The $qqZZ$ production cross-section is corrected to NNLO QCD and NLO EW precision using K-factors binned in the invariant mass of the ZZ system, provided by the authors of Refs. [60, 61]. The QCD and EW corrections to the $qqZZ$ cross-section are assumed to factorise, as suggested in Ref. [62]. In addition, the $ggZZ$ production cross-section is scaled to account for the NLO QCD correction [63]. The WZ and WW processes are generated with POWHEG-Box v2, and their production cross-sections are predicted at NLO in QCD. All the diboson events are generated with the CT10 PDF set and showered using PYTHIA8.186 with the AZNLO tune.

SHERPA2.2.1 [64] is used to model the $Z + \text{jets}$ process, and the Z boson p_T distribution is matched to data. The $W + \text{jets}$ events are generated with POWHEG-Box v2 interfaced to PYTHIA8.186. Both the $t\bar{t}$ and Wt events are simulated with POWHEG-Box v2 and showered with PYTHIA6.428 [65]. The cross-sections of these processes are all calculated at NNLO in QCD. The rare VVV background, consisting of WWW , WWZ , WZZ and ZZZ production processes, is modelled with SHERPA2.1.1. MADGRAPH5_AMC@NLO interfaced to PYTHIA8.186 is used to generate the $t\bar{t}V(V)$ background events that account for $t\bar{t}W$, $t\bar{t}Z$ and $t\bar{t}WW$ production processes.

Generated events are processed through the ATLAS detector simulation [66] based on GEANT4 [67]. Additional pp collisions in the same proton bunch crossing (pile-up) are simulated with PYTHIA8.186 and overlaid to simulated events to mimic the real collision environment. The distribution of the average number of interactions per bunch crossing in the simulation is weighted to reflect that in data. Simulated events are processed with the same reconstruction algorithms as for the data. Furthermore, the lepton momentum scale and resolution, the lepton reconstruction and identification efficiencies, and the trigger efficiencies in the simulation are corrected to match that measured in data.

4 Selection criteria

This search is carried out in a $\ell\ell + E_T^{\text{miss}}$ final state, which contains large E_T^{miss} and a pair of high- p_T isolated electrons (ee) or muons ($\mu\mu$). Backgrounds are reduced by removing events with extra leptons or any jets containing b -hadrons (“ b -jets”) and by requiring a boosted Z boson which is back to back with the missing transverse momentum vector (\vec{E}_T^{miss}). Therefore, this search requires good measurement and identification of the leptons and jets and precise understanding of the E_T^{miss} .

Electrons are reconstructed from energy deposits in the EM calorimeter matched to a track reconstructed in the ID. Candidate electrons must have $p_T > 7$ GeV and pseudorapidity $|\eta| < 2.47$. Electrons must satisfy a set of likelihood-based identification criteria which are chosen to be approximately 90% efficient and are referred to as the “medium” operating point [68]. Muons are reconstructed from a combined fit of tracks reconstructed independently in the ID and in the MS. Candidate muons must have $p_T > 7$ GeV and $|\eta| < 2.5$. Muons are required to satisfy a set of identification criteria, which are referred to as the “medium” criteria [69]. To suppress cosmic-ray and non-prompt contributions, the absolute value of the longitudinal impact parameter of leptons must be smaller than 0.5 mm, and the transverse impact parameter divided by its error must be less than 5 (3) for electrons (muons). “Loose” isolation criteria [68, 69] are applied to remove jets misidentified as leptons or leptons from b -hadron decays, and the isolation selection varies as a function of p_T to maintain a uniform efficiency of 99% for signal leptons.

Jets are reconstructed with the anti- k_t algorithm [70] with the radius parameter $R = 0.4$ [71–73]. Candidate jets must have $p_T > 20$ GeV and $|\eta| < 4.5$. Additional requirements using the track and vertex information inside a jet [74] are applied for jets with $p_T < 60$ GeV and $|\eta| < 2.5$ to suppress pile-up contributions. Candidate b -jets ($p_T > 20$ GeV and $|\eta| < 2.5$) are identified with an algorithm providing 85% signal efficiency and a rejection factor of 33 for light-flavor jets [75]. The \vec{E}_T^{miss} vector is computed as the negative of the vector sum of transverse momenta of all the leptons and jets, as well as the tracks originating from the primary vertex but not associated with any of the leptons or jets (“soft-term”) [76]. Usage of the track-based soft-term, rather than the calorimeter-based one, minimises the impact of pile-up on the E_T^{miss} reconstruction.

Events are required to have a collision vertex associated with at least two tracks each with $p_T > 0.4$ GeV. Candidate events must have exactly two selected electrons or muons with opposite charges and $p_T > 20$ GeV, and the leading lepton is further required to have $p_T > 30$ GeV. To suppress the WZ background, events that contain an extra “soft” lepton are rejected, where the soft leptons satisfy the corresponding “loose” identification criteria and all other lepton selection criteria. The dilepton invariant mass ($m_{\ell\ell}$) is required to be in the range between 76 and 106 GeV to reject background processes with two leptons that do not originate from the prompt decay of a Z boson (non-resonant- $\ell\ell$).

After the above selection (“preselection”), the data sample is still dominated by the Z + jets and non-resonant- $\ell\ell$ processes, and further requirements on E_T^{miss} and event topology are applied to suppress these backgrounds. Candidate events are required to have $E_T^{\text{miss}} > 90$ GeV and $E_T^{\text{miss}}/H_T > 0.6$, where H_T is calculated as the scalar sum of the p_T of the selected leptons and jets. Since the signal processes tend to have a boosted Z boson produced in the direction opposite to \vec{E}_T^{miss} , the azimuthal angle difference between the dilepton system and \vec{E}_T^{miss} , $\Delta\phi(\vec{p}_T^{\ell\ell}, \vec{E}_T^{\text{miss}})$, must be larger than 2.7 radians, and the selected leptons must be close to each other, with $\Delta R_{\ell\ell} = \sqrt{(\Delta\phi_{\ell\ell})^2 + (\Delta\eta_{\ell\ell})^2} < 1.8$. Some of the remaining Z + jets background events have large E_T^{miss} because of a significant soft-term contribution. To remove these Z + jets events, the absolute difference between the dilepton p_T ($p_T^{\ell\ell}$) and the magnitude of the vector sum of \vec{E}_T^{miss} and \vec{p}_T of all the selected jets ($p_T^{\text{miss,jets}}$) must be no more than 20% of $p_T^{\ell\ell}$. Finally, events

containing one or more b -jets are vetoed to suppress the $t\bar{t}$ and Wt backgrounds. The event selection criteria are summarised in Table 1.

Table 1: Event selection criteria in the $\ell\ell + E_T^{\text{miss}}$ search.

Selection criteria	
Two leptons	Two opposite-sign leptons, leading (subleading) $p_T > 30$ (20) GeV
Third lepton veto	Veto events if any additional lepton with $p_T > 7$ GeV
$m_{\ell\ell}$	$76 < m_{\ell\ell} < 106$ GeV
E_T^{miss} and E_T^{miss}/H_T	$E_T^{\text{miss}} > 90$ GeV and $E_T^{\text{miss}}/H_T > 0.6$
$\Delta\phi(\vec{p}_T^{\ell\ell}, \vec{E}_T^{\text{miss}})$	$\Delta\phi(\vec{p}_T^{\ell\ell}, \vec{E}_T^{\text{miss}}) > 2.7$ radians
$\Delta R_{\ell\ell}$	$\Delta R_{\ell\ell} < 1.8$
Fractional p_T difference	$ p_T^{\ell\ell} - p_T^{\text{miss,jets}} /p_T^{\ell\ell} < 0.2$
b -jets veto	$N(b\text{-jets}) = 0$ with b -jet $p_T > 20$ GeV and $ \eta < 2.5$

The selection efficiency, defined as the product of the kinematic acceptance and the detector-level reconstruction and selection efficiency, is 10.0% (10.6%) for the $ZH \rightarrow \ell\ell + \text{inv}$ signal with $m_H = 125$ GeV in the ee ($\mu\mu$) channel. For a typical DM signal ($m_{\text{med}} = 500$ GeV and $m_\chi = 100$ GeV) to which this search is sensitive, the efficiency is 13.4% (13.7%) for the ee ($\mu\mu$) channel. The signal contribution from the $Z \rightarrow \tau\tau$ decay is found to be negligible, and therefore, only the prompt $Z \rightarrow ee$ ($Z \rightarrow \mu\mu$) decay is considered for the denominator in the efficiency calculation for the ee ($\mu\mu$) channel.

5 Uncertainties and background estimation

The selection efficiencies for the signal processes are subject to theoretical and experimental uncertainties. These systematic uncertainties are also evaluated for the E_T^{miss} distributions, which are used to constrain the existence of new phenomena in this search.

The theoretical uncertainties originate from the PDF choice, the perturbative calculation, and the parton-shower modelling. These uncertainties are estimated in the same manner for both the $ZH \rightarrow \ell\ell + \text{inv}$ and DM signals. The PDF uncertainty covers the 68% CL eigenvector uncertainty [51, 55] of the nominal PDF set used in generating the signal events, as well as the difference between the nominal and alternative PDF sets. The alternative PDF sets used for the $ZH \rightarrow \ell\ell + \text{inv}$ (DM) signal are NNPDF3.0 and MSTW2008NLO [77] (CT14lo [78] and MMHT2014lo68cl [79]). The perturbative uncertainty covers the variations from changing the QCD renormalisation and factorisation scales independently by factors ranging from one half to two. The parton-shower uncertainty is evaluated by varying parameters in the parton shower tunes according to Refs. [56, 57]. In addition, the uncertainty in the NLO EW correction to the p_T^Z distribution is considered for the $ZH \rightarrow \ell\ell + \text{inv}$ process. The total theoretical uncertainty is around 5% on the selection efficiencies of both the $ZH \rightarrow \ell\ell + \text{inv}$ and DM signals. The SM ZH production cross-section is assumed in the study of $B_{H \rightarrow \text{inv}}$, and an uncertainty of 5% [3] is assigned to this prediction. The theoretical uncertainties on the signal E_T^{miss} distributions are found to be minor.

The major experimental uncertainties relate to the luminosity uncertainty, the momentum scale and resolution of leptons and jets, and the lepton reconstruction and selection efficiencies. Smaller experimental uncertainties that are also considered include uncertainties due to the trigger selection efficiency, the determination of the E_T^{miss} soft-term, the pile-up correction, and the b -jet identification efficiency. All the experimental uncertainties are included in the simulation-based predictions of the signal efficiencies, background yields, and E_T^{miss} shapes. Overall, the total experimental uncertainty on the signal selection efficiency is around 5%, dominated by the jet, lepton and pile-up components. The uncertainty on the combined 2015 and 2016 integrated luminosity is 3.2%, derived following a methodology similar to that detailed in Ref. [80], from a preliminary calibration of the luminosity scale using x-y beam-separation scans performed in August 2015 and May 2016. The luminosity uncertainty is considered for the background contributions estimated from simulation and for the $ZH \rightarrow \ell\ell + \text{inv}$ signal prediction when studying $B_{H \rightarrow \text{inv}}$.

Background contributions are either estimated from simulation or determined using data, as described below. Production of ZZ events constitutes the dominant fraction (59%) of the total background. Some WZ events can be selected if the W boson decay results in an electron or muon escaping detection or a hadronically decaying τ , and this background accounts for 25% of the total background. The $Z + \text{jets}$ process with the Z boson decaying to an ee or $\mu\mu$ pair and poorly reconstructed E_T^{miss} amounts to about 8% of the total background, and a similar contribution originates from the non-resonant- $\ell\ell$ processes consisting of $t\bar{t}$, Wt , WW and $Z \rightarrow \tau\tau$ production. Minor contributions ($< 1\%$) are expected from the $W + \text{jets}$, VVV , and $t\bar{t}V(V)$ backgrounds.

In this search, the ZZ background is estimated from simulation, because the data sample with four charged leptons, which could be used to constrain the ZZ background normalisation, is statistically limited. Overall, the NNLO QCD ($\approx +10\%$) and NLO EW corrections ($\approx -10\%$) to the $qqZZ$ yield are found to cancel each other out. The perturbative uncertainty and the PDF uncertainty (estimated as the CT10 eigenvector uncertainty at the 68% CL) on the $qqZZ$ yield are estimated using the simulated sample, which has NLO accuracy in QCD. These uncertainties are found to be 4% and 2%, respectively. Both the perturbative and PDF uncertainties on the E_T^{miss} shape are also considered for the $qqZZ$ process. In addition, a smaller uncertainty due to the parton-shower modelling is also assigned to the $qqZZ$ yield. An uncertainty of 60% is assigned to the $qqZZ$ yield to cover the perturbative uncertainty on the NLO correction to the production cross-section and the theoretical uncertainty on the selection acceptance. The total experimental uncertainty on the ZZ estimate is about 7%, and the total uncertainty amounts to 10%.

The WZ background contribution predicted by simulation is scaled by a data-driven scale factor that accounts for potential missing higher-order calculations in the simulation. To derive the scale factor, a data control region enriched in WZ events is defined with the preselection criteria, except that a third lepton with $p_T > 20$ GeV and satisfying the medium identification criteria is allowed. In addition, a requirement of $m_T^W > 60$ GeV is imposed in the control region to suppress non- WZ contributions, where m_T^W is constructed from the third lepton's momentum and the \vec{E}_T^{miss} vector. The scale factor is then calculated in the control region as the number of data events, after subtracting the non- WZ contributions (estimated from simulation), divided by the predicted WZ yield, and is found to be 1.29. The statistical uncertainty on the WZ estimate is about 2%, due to the limited size of the data control sample. The systematic uncertainty is evaluated for the ratio of the simulated WZ yields in the signal and control regions. The experimental uncertainty on this ratio is about 4%, while the theoretical uncertainty is negligible. The total uncertainty on the WZ estimate is about 5%. Moreover, theoretical uncertainties on the simulation-based E_T^{miss} shape due to PDF and QCD scales are taken into consideration for the WZ process.

A data-driven method is used to estimate the Z + jets background. This method defines three independent Z -enriched regions (B, C and D) that are disjoint from the signal region A. Then the data yields after subtracting the non- Z contributions in these regions (N_B , N_C and N_D) are used to predict the Z + jets contribution in the signal region (N_A), calculated as $N_B \times N_C / N_D$. An intrinsic assumption of $N_A / N_B = N_C / N_D$ is made for the Z + jets process. To ensure that this assumption is valid, the control regions are defined so as to have the closure factor $N_A / N_B \times N_D / N_C$ close to unity. The control regions are defined after the preselection, and a requirement of $E_T^{\text{miss}} > 60$ GeV and $E_T^{\text{miss}} / H_T > 0.12$ (“cleaning cut”) is imposed to remove the low- E_T^{miss} phase space that is far away from the signal region. Since the E_T^{miss} and the topological variables used in the event selection are expected to have only a small correlation, they are used to define regions B, C and D. Events are sorted into region B if $E_T^{\text{miss}} < 90$ GeV or $E_T^{\text{miss}} / H_T < 0.6$ and into region C if satisfying both the E_T^{miss} and E_T^{miss} / H_T selections but failing to satisfy any of the remaining criteria, and the rest of the events constitute region D. The closure factor $N_A / N_B \times N_D / N_C$ is estimated using the simulated Z + jets events and found to be 1.3 (1.1) for the ee ($\mu\mu$) final state, and both factors are consistent with unity, considering the large statistical uncertainties of the simulated samples and the experimental uncertainties. The major uncertainties on the Z + jets estimate include the difference between the closure factor and unity (“non-closure”) and the experimental and modelling uncertainties on the closure factor. The experimental uncertainty on the closure factor is dominated by the uncertainties on the jet energy scale and resolution. The modelling uncertainty covers the variations from changing the cleaning cut’s values conservatively by 40%. Smaller uncertainties due to the statistical uncertainty of the data and the subtraction of non- Z contributions in the control regions are also considered. A total uncertainty of $^{+90\%}_{-55\%}$ ($^{+37\%}_{-49\%}$) is assigned to the Z + jets estimate in the ee ($\mu\mu$) channel. Overall, the Z + jets background contribution in the ee channel has a larger uncertainty than in the $\mu\mu$ channel, due to the larger non-closure and the larger modelling uncertainties in the ee channel. Additionally, an alternative method, which corrects the simulated Z + jets contribution in the signal region by a data-driven scale factor derived in a side-band region defined by reversing the E_T^{miss} / H_T cut, yields a consistent result. The E_T^{miss} distribution for the Z + jets background is derived from simulation, and the shape uncertainty includes the experimental uncertainties and the difference between the simulated E_T^{miss} distribution and that observed in data with $E_T^{\text{miss}} / H_T < 0.6$.

To estimate the non-resonant- $\ell\ell$ background, a control region dominated by the non-resonant- $\ell\ell$ processes is defined by applying all the event selection criteria to the final state with an opposite-sign $e\mu$ pair and large E_T^{miss} . The non-resonant- $\ell\ell$ contribution in the ee ($\mu\mu$) channel is calculated as one half of the observed data yield after subtracting the contribution from the other background processes in the control region, and then corrected for the difference in the lepton reconstruction and identification efficiencies between selecting an $e\mu$ pair and an ee ($\mu\mu$) pair. The lepton efficiency correction is derived as the square root of the ratio of the numbers of $\mu\mu$ and ee events in data after the preselection, and this correction is obtained as a function of p_T and η of both leptons. The total uncertainty on the non-resonant- $\ell\ell$ estimates is about 14%, including the statistical uncertainty of the data in the control region (13%) and the method bias estimated from simulation (5%). The E_T^{miss} distributions for the non-resonant- $\ell\ell$ background are derived from the data control region, and the differences between data and simulation are taken as the shape uncertainty.

The VVV and $t\bar{t}V(V)$ backgrounds are estimated from simulation, and their contributions have a total uncertainty of about 20%, including both the theoretical cross-section [81, 82] and experimental uncertainties. The W + jets background is estimated using the fake-factor method described in Ref. [83].

6 Result and interpretations

Table 2 gives the observed data yields, the estimated background contributions, and the expectations for the two signal processes after the final selection. The observed and predicted E_T^{miss} distributions in the ee and $\mu\mu$ channels are shown in Figure 2. No significant excess over the SM background expectation is observed.

Table 2: Observed data yields and expectations for the signal and background contributions in the signal region. The first error is statistical, and the second systematic. The $ZH \rightarrow \ell\ell + \text{inv}$ signal contribution is shown with $B_{H \rightarrow \text{inv}} = 0.30$, which is the value most compatible with data. The DM signal contribution with $m_{\text{med}} = 500$ GeV and $m_\chi = 100$ GeV is also scaled (with a factor of 0.27) to the best-fit contribution. The background contributions from the $W + \text{jets}$, VVV and $t\bar{t}V(V)$ processes are summed and presented with the label “Others”. The systematic uncertainty on the $Z + \text{jets}$ contribution is taken as its upper systematic error. The uncertainty on the total background prediction is quadratically summed from those on the individual background contributions.

Final State	ee	$\mu\mu$
Observed Data	437	497
Signal		
$ZH \rightarrow \ell\ell + \text{inv}$ ($B_{H \rightarrow \text{inv}} = 30\%$)	$32 \pm 1 \pm 3$	$34 \pm 1 \pm 3$
DM ($m_{\text{med}} = 500$ GeV, $m_\chi = 100$ GeV) $\times 0.27$	$10.8 \pm 0.3 \pm 0.8$	$11.1 \pm 0.3 \pm 0.8$
Backgrounds		
$qqZZ$	$212 \pm 3 \pm 15$	$221 \pm 3 \pm 17$
$ggZZ$	$18.9 \pm 0.3 \pm 11.2$	$19.3 \pm 0.3 \pm 11.4$
WZ	$106 \pm 2 \pm 6$	$113 \pm 3 \pm 5$
$Z + \text{jets}$	$30 \pm 1 \pm 28$	$37 \pm 1 \pm 19$
Non-resonant- $\ell\ell$	$30 \pm 4 \pm 2$	$33 \pm 4 \pm 2$
Others	$1.4 \pm 0.1 \pm 0.2$	$2.5 \pm 2.0 \pm 0.8$
Total Background	$399 \pm 6 \pm 34$	$426 \pm 6 \pm 28$

To examine the compatibility of the data and the signal-plus-background hypothesis, a test statistic is defined using the profile likelihood ratio method [84]. The likelihood function is the product of all the Poisson probability density functions built in individual E_T^{miss} bins and final states. In each bin the observed number of events in data is represented by a Poisson probability density function with a mean equal to the sum of the predicted signal and background yields. The systematic uncertainties are implemented as nuisance parameters (NPs) constrained by auxiliary Gaussian functions. In most cases, a common NP is used to account for each systematic uncertainty in all the E_T^{miss} bins and in both the ee and $\mu\mu$ channels. The statistical uncertainty on the $Z + \text{jets}$ estimate is treated as being uncorrelated between the ee and $\mu\mu$ channels, and the statistical uncertainties of the simulated samples are uncorrelated among all bins and final states. A frequentist method with the CLs formalism [85] is then applied to set upper limits on the overall signal contribution, which is the parameter of interest left free in the test statistic.

There is a small data excess in the $\mu\mu$ channel, and the p -value for the compatibility of the data and the background-only hypothesis is 0.014, which corresponds to a significance of about 2.2σ . Combining the ee and $\mu\mu$ channels, the p -value becomes 0.06 (1.5σ). Assuming the signal-plus-background hypothesis, the compatibility between the ee and $\mu\mu$ channels is found to be 1.4σ .

Table 3 gives the 95% CL upper limits on $B_{H \rightarrow \text{inv}}$, assuming the SM prediction for the ZH production

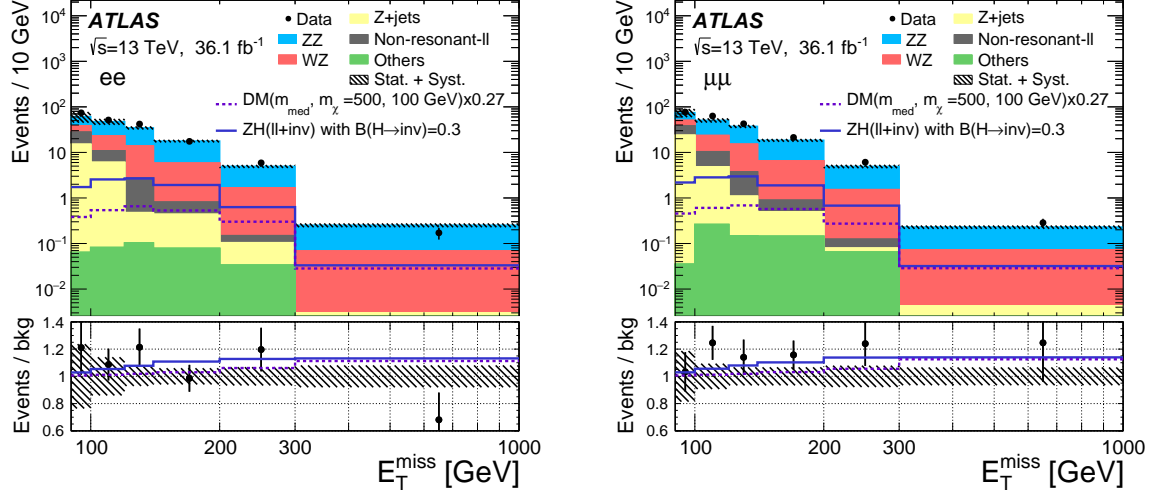


Figure 2: Observed E_T^{miss} distribution in the ee (left) and $\mu\mu$ (right) channel compared to the signal and background predictions. The error band shows the total statistical and systematic uncertainty on the background prediction. The background predictions are presented as they are before being fit to the data. The ratio plot gives the observed data yield over the background prediction (black points) as well as the signal-plus-background contribution divided by the background prediction (blue or purple line) in each E_T^{miss} bin. The rightmost bin contains the overflow contributions. The $ZH \rightarrow \ell\ell + \text{inv}$ signal distribution is shown with $B_{H \rightarrow \text{inv}} = 0.3$, which is the value most compatible with data. The simulated DM distribution with $m_{\text{med}} = 500$ GeV and $m_\chi = 100$ GeV is also scaled (with a factor of 0.27) to the best-fit contribution.

cross-section. As a result of the small data excess observed in this search, the observed limit is less stringent than the expected one. Using the combined ee and $\mu\mu$ channel, the observed and expected limits on $B_{H \rightarrow \text{inv}}$ are 67% and 39%, respectively. The corresponding observed (expected) limit on the production cross-section of the $ZH \rightarrow \ell\ell + \text{inv}$ process is 40 (23) fb at the 95% CL, where only the prompt $Z \rightarrow ee$ and $Z \rightarrow \mu\mu$ decays are considered. When the signal-plus-background model is fit to the data, the best-fit $B_{H \rightarrow \text{inv}}$ is $(30 \pm 20)\%$, where the data statistical and systematic uncertainties are about 13% and 16%, respectively. The dominant sources of the systematic uncertainty are the theoretical uncertainties on the $qqZZ$ and $ggZZ$ predictions, the luminosity uncertainty, the uncertainties in the data-driven estimation of the WZ and $Z + \text{jets}$ backgrounds, and the jet energy scale and resolution uncertainties.

Table 3: The 95% CL upper limits on $B_{H \rightarrow \text{inv}}$ for $m_H = 125$ GeV from the ee , $\mu\mu$, and combined $ee + \mu\mu$ channels. Both the observed and expected limits are given, and the 1σ and 2σ uncertainties on the expected limits are also presented.

	Obs. $B_{H \rightarrow \text{inv}}$ Limit	Exp. $B_{H \rightarrow \text{inv}}$ Limit $\pm 1\sigma \pm 2\sigma$
ee	59%	$(51^{+21}_{-15} {}^{+49}_{-24}) \%$
$\mu\mu$	97%	$(48^{+20}_{-14} {}^{+46}_{-22}) \%$
$ee + \mu\mu$	67%	$(39^{+17}_{-11} {}^{+38}_{-18}) \%$

Figure 3 gives the 95% CL exclusion limit in the two-dimensional phase space of WIMP mass m_χ and mediator mass m_{med} derived using the combined $ee + \mu\mu$ channel, where the underlying dark matter model assumes an axial-vector mediator, fermionic WIMPs, and a specific scenario of the coupling parameters

($g_q = 0.25$, $g_\chi = 1$). From the observed limits at the 95% CL, the mediator mass m_{med} is excluded up to 560 GeV for a light WIMP, while the WIMP mass m_χ is excluded up to 130 GeV for $m_{\text{med}} = 400$ GeV. For the bulk of the phase space, the observed limit is weaker than the expected one by about 1σ . The compatibility of the observed and expected limits is better than that for the $B_{H \rightarrow \text{inv}}$ limits, mainly because the sensitivity region for the DM signals has larger E_T^{miss} and the difference between the observed yield and the background expectation is less statistically significant at high E_T^{miss} .

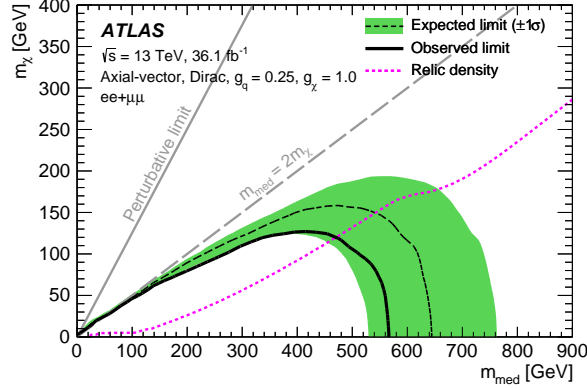


Figure 3: DM exclusion limit in the two-dimensional phase space of WIMP mass m_χ vs mediator mass m_{med} determined using the combined $ee + \mu\mu$ channel. Both the observed and expected limits are presented, and the 1σ uncertainty band for the expected limits is also provided. Regions bounded by the limit curves are excluded at the 95% CL. The grey line labelled with “ $m_{\text{med}} = 2m_\chi$ ” indicates the kinematic threshold where the mediator can decay on-shell into WIMPs, and the other grey line gives the perturbative limit [86]. The relic density line [86] illustrates the combination of m_χ and m_{med} that would explain the observed DM relic density.

7 Conclusion

This Letter presents a search for an invisibly decaying Higgs boson or WIMPs produced in association with a Z boson using 36.1 fb^{-1} of data collected by the ATLAS detector in pp collisions at $\sqrt{s} = 13$ TeV at the LHC. The search is carried out in the $\ell\ell + E_T^{\text{miss}}$ final state. There is no significant data excess above the expectation of the SM backgrounds. An observed (expected) upper limit of 67% (39%) is set on $B_{H \rightarrow \text{inv}}$ at the 95% CL for $m_H = 125$ GeV, which can be compared to the observed (expected) 95% CL limit of 75% (62%) derived in the same final state using the ATLAS data collected at $\sqrt{s} = 7$ and 8 TeV. The expected $B_{H \rightarrow \text{inv}}$ limit is much improved compared to the previous one, while the improvement in the observed limit is marginal due to the small data excess observed in this search. The corresponding observed (expected) limit on the production cross-section of the ZH process with prompt $Z \rightarrow ee$ and $Z \rightarrow \mu\mu$ decays and invisible Higgs boson decays is 40 (23) fb at the 95% CL. Finally, exclusion limits are placed on masses in a simplified dark matter model with an axial-vector mediator and fermionic WIMPs. The mediator mass m_{med} is excluded up to 560 GeV at the 95% CL for a light WIMP, while the WIMP mass m_χ is excluded up to 130 GeV for $m_{\text{med}} = 400$ GeV. The constraint on the existence of dark matter from this search provides another input to the global search for dark matter at the LHC.

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The ATLAS Collaboration

M. Aaboud^{137d}, G. Aad⁸⁸, B. Abbott¹¹⁵, O. Abidinov^{12,*}, B. Abeloos¹¹⁹, S.H. Abidi¹⁶¹, O.S. AbouZeid¹³⁹, N.L. Abraham¹⁵¹, H. Abramowicz¹⁵⁵, H. Abreu¹⁵⁴, R. Abreu¹¹⁸, Y. Abulaiti^{148a,148b}, B.S. Acharya^{167a,167b,a}, S. Adachi¹⁵⁷, L. Adamczyk^{41a}, J. Adelman¹¹⁰, M. Adersberger¹⁰², T. Adye¹³³, A.A. Affolder¹³⁹, Y. Afik¹⁵⁴, T. Agatonovic-Jovin¹⁴, C. Agheorghiesei^{28c}, J.A. Aguilar-Saavedra^{128a,128f}, S.P. Ahlen²⁴, F. Ahmadov^{68,b}, G. Aielli^{135a,135b}, S. Akatsuka⁷¹, H. Akerstedt^{148a,148b}, T.P.A. Åkesson⁸⁴, E. Akilli⁵², A.V. Akimov⁹⁸, G.L. Alberghi^{22a,22b}, J. Albert¹⁷², P. Albicocco⁵⁰, M.J. Alconada Verzini⁷⁴, S.C. Alderweireldt¹⁰⁸, M. Aleksa³², I.N. Aleksandrov⁶⁸, C. Alexa^{28b}, G. Alexander¹⁵⁵, T. Alexopoulos¹⁰, M. Alhroob¹¹⁵, B. Ali¹³⁰, M. Aliev^{76a,76b}, G. Alimonti^{94a}, J. Alison³³, S.P. Alkire³⁸, B.M.M. Allbrooke¹⁵¹, B.W. Allen¹¹⁸, P.P. Allport¹⁹, A. Aloisio^{106a,106b}, A. Alonso³⁹, F. Alonso⁷⁴, C. Alpigiani¹⁴⁰, A.A. Alshehri⁵⁶, M.I. Alstady⁸⁸, B. Alvarez Gonzalez³², D. Álvarez Piqueras¹⁷⁰, M.G. Alvigi^{106a,106b}, B.T. Amadio¹⁶, Y. Amaral Coutinho^{26a}, C. Amelung²⁵, D. Amidei⁹², S.P. Amor Dos Santos^{128a,128c}, S. Amoroso³², G. Amundsen²⁵, C. Anastopoulos¹⁴¹, L.S. Ancu⁵², N. Andari¹⁹, T. Andeen¹¹, C.F. Anders^{60b}, J.K. Anders⁷⁷, K.J. Anderson³³, A. Andreazza^{94a,94b}, V. Andrei^{60a}, S. Angelidakis³⁷, I. Angelozzi¹⁰⁹, A. Angerami³⁸, A.V. Anisenkov^{111,c}, N. Anjos¹³, A. Annovi^{126a,126b}, C. Antel^{60a}, M. Antonelli⁵⁰, A. Antonov^{100,*}, D.J. Antrim¹⁶⁶, F. Anulli^{134a}, M. Aoki⁶⁹, L. Aperio Bella³², G. Arabidze⁹³, Y. Arai⁶⁹, J.P. Araque^{128a}, V. Araujo Ferraz^{26a}, A.T.H. Arce⁴⁸, R.E. Ardell⁸⁰, F.A. Arduh⁷⁴, J-F. Arguin⁹⁷, S. Argyropoulos⁶⁶, M. Arik^{20a}, A.J. Armbruster³², L.J. Armitage⁷⁹, O. Arnaez¹⁶¹, H. Arnold⁵¹, M. Arratia³⁰, O. Arslan²³, A. Artamonov^{99,*}, G. Artoni¹²², S. Artz⁸⁶, S. Asai¹⁵⁷, N. Asbah⁴⁵, A. Ashkenazi¹⁵⁵, L. Asquith¹⁵¹, K. Assamagan²⁷, R. Astalos^{146a}, M. Atkinson¹⁶⁹, N.B. Atlay¹⁴³, K. Augsten¹³⁰, G. Avolio³², B. Axen¹⁶, M.K. Ayoub^{35a}, G. Azuelos^{97,d}, A.E. Baas^{60a}, M.J. Baca¹⁹, H. Bachacou¹³⁸, K. Bachas^{76a,76b}, M. Backes¹²², P. Bagnaia^{134a,134b}, M. Bahmani⁴², H. Bahrasemani¹⁴⁴, J.T. Baines¹³³, M. Bajic³⁹, O.K. Baker¹⁷⁹, P.J. Bakker¹⁰⁹, E.M. Baldin^{111,c}, P. Balek¹⁷⁵, F. Balli¹³⁸, W.K. Balunas¹²⁴, E. Banas⁴², A. Bandyopadhyay²³, Sw. Banerjee^{176,e}, A.A.E. Bannoura¹⁷⁸, L. Barak¹⁵⁵, E.L. Barberio⁹¹, D. Barberis^{53a,53b}, M. Barbero⁸⁸, T. Barillari¹⁰³, M-S Barisits³², J.T. Barkeloo¹¹⁸, T. Barklow¹⁴⁵, N. Barlow³⁰, S.L. Barnes^{36c}, B.M. Barnett¹³³, R.M. Barnett¹⁶, Z. Barnovska-Blenessy^{36a}, A. Baroncelli^{136a}, G. Barone²⁵, A.J. Barr¹²², L. Barranco Navarro¹⁷⁰, F. Barreiro⁸⁵, J. Barreiro Guimarães da Costa^{35a}, R. Bartoldus¹⁴⁵, A.E. Barton⁷⁵, P. Bartos^{146a}, A. Basalae¹²⁵, A. Bassalat^{119,f}, R.L. Bates⁵⁶, S.J. Batista¹⁶¹, J.R. Batley³⁰, M. Battaglia¹³⁹, M. Bauce^{134a,134b}, F. Bauer¹³⁸, H.S. Bawa^{145,g}, J.B. Beacham¹¹³, M.D. Beattie⁷⁵, T. Beau⁸³, P.H. Beauchemin¹⁶⁵, P. Bechtel²³, H.P. Beck^{18,h}, H.C. Beck⁵⁷, K. Becker¹²², M. Becker⁸⁶, C. Becot¹¹², A.J. Beddall^{20e}, A. Beddall^{20b}, V.A. Bednyakov⁶⁸, M. Bedognetti¹⁰⁹, C.P. Bee¹⁵⁰, T.A. Beermann³², M. Begalli^{26a}, M. Begel²⁷, J.K. Behr⁴⁵, A.S. Bell⁸¹, G. Bella¹⁵⁵, L. Bellagamba^{22a}, A. Bellerive³¹, M. Bellomo¹⁵⁴, K. Belotskiy¹⁰⁰, O. Beltramello³², N.L. Belyaev¹⁰⁰, O. Benary^{155,*}, D. Bencheikroun^{137a}, M. Bender¹⁰², N. Benekos¹⁰, Y. Benhammou¹⁵⁵, E. Benhar Noccioli¹⁷⁹, J. Benitez⁶⁶, D.P. Benjamin⁴⁸, M. Benoit⁵², J.R. Bensinger²⁵, S. Bentvelsen¹⁰⁹, L. Beresford¹²², M. Beretta⁵⁰, D. Berge¹⁰⁹, E. Bergeas Kuutmann¹⁶⁸, N. Berger⁵, J. Beringer¹⁶, S. Berlendis⁵⁸, N.R. Bernard⁸⁹, G. Bernardi⁸³, C. Bernius¹⁴⁵, F.U. Bernlochner²³, T. Berry⁸⁰, P. Berta⁸⁶, C. Bertella^{35a}, G. Bertoli^{148a,148b}, I.A. Bertram⁷⁵, C. Bertsche⁴⁵, G.J. Besjes³⁹, O. Bessidskaia Bylund^{148a,148b}, M. Bessner⁴⁵, N. Besson¹³⁸, A. Bethani⁸⁷, S. Bethke¹⁰³, A. Betti²³, A.J. Bevan⁷⁹, J. Beyer¹⁰³, R.M. Bianchi¹²⁷, O. Biebel¹⁰², D. Biedermann¹⁷, R. Bielski⁸⁷, K. Bierwagen⁸⁶, N.V. Biesuz^{126a,126b}, M. Biglietti^{136a}, T.R.V. Billoud⁹⁷, H. Bilokon⁵⁰, M. Bindi⁵⁷, A. Bingul^{20b}, C. Bini^{134a,134b}, S. Biondi^{22a,22b}, T. Bisanz⁵⁷, C. Bittrich⁴⁷, D.M. Bjergaard⁴⁸, J.E. Black¹⁴⁵, K.M. Black²⁴, R.E. Blair⁶, T. Blazek^{146a}, I. Bloch⁴⁵, C. Blocker²⁵, A. Blue⁵⁶, U. Blumenschein⁷⁹, S. Blunier^{34a}, G.J. Bobbink¹⁰⁹, V.S. Bobrovnikov^{111,c},

S.S. Bocchetta⁸⁴, A. Bocci⁴⁸, C. Bock¹⁰², M. Boehler⁵¹, D. Boerner¹⁷⁸, D. Bogavac¹⁰², A.G. Bogdanchikov¹¹¹, C. Bohm^{148a}, V. Boisvert⁸⁰, P. Bokan^{168,i}, T. Bold^{41a}, A.S. Boldyrev¹⁰¹, A.E. Bolz^{60b}, M. Bomben⁸³, M. Bona⁷⁹, M. Boonekamp¹³⁸, A. Borisov¹³², G. Borissov⁷⁵, J. Bortfeldt³², D. Bortoletto¹²², V. Bortolotto^{62a}, D. Boscherini^{22a}, M. Bosman¹³, J.D. Bossio Sola²⁹, J. Boudreau¹²⁷, E.V. Bouhova-Thacker⁷⁵, D. Boumediene³⁷, C. Bourdarios¹¹⁹, S.K. Boutle⁵⁶, A. Boveia¹¹³, J. Boyd³², I.R. Boyko⁶⁸, A.J. Bozson⁸⁰, J. Bracinik¹⁹, A. Brandt⁸, G. Brandt⁵⁷, O. Brandt^{60a}, F. Braren⁴⁵, U. Bratzler¹⁵⁸, B. Brau⁸⁹, J.E. Brau¹¹⁸, W.D. Breaden Madden⁵⁶, K. Brendlinger⁴⁵, A.J. Brennan⁹¹, L. Brenner¹⁰⁹, R. Brenner¹⁶⁸, S. Bressler¹⁷⁵, D.L. Briglin¹⁹, T.M. Bristow⁴⁹, D. Britton⁵⁶, D. Britzger⁴⁵, F.M. Brochu³⁰, I. Brock²³, R. Brock⁹³, G. Brooijmans³⁸, T. Brooks⁸⁰, W.K. Brooks^{34b}, J. Brosamer¹⁶, E. Brost¹¹⁰, J.H. Broughton¹⁹, P.A. Bruckman de Renstrom⁴², D. Bruncko^{146b}, A. Bruni^{22a}, G. Bruni^{22a}, L.S. Bruni¹⁰⁹, S. Bruno^{135a,135b}, B.H. Brunt³⁰, M. Bruschi^{22a}, N. Bruscino¹²⁷, P. Bryant³³, L. Bryngemark⁴⁵, T. Buanes¹⁵, Q. Buat¹⁴⁴, P. Buchholz¹⁴³, A.G. Buckley⁵⁶, I.A. Budagov⁶⁸, F. Buehrer⁵¹, M.K. Bugge¹²¹, O. Bulekov¹⁰⁰, D. Bullock⁸, T.J. Burch¹¹⁰, S. Burdin⁷⁷, C.D. Burgard¹⁰⁹, A.M. Burger⁵, B. Burghgrave¹¹⁰, K. Burka⁴², S. Burke¹³³, I. Burmeister⁴⁶, J.T.P. Burr¹²², D. Büscher⁵¹, V. Büscher⁸⁶, P. Bussey⁵⁶, J.M. Butler²⁴, C.M. Buttar⁵⁶, J.M. Butterworth⁸¹, P. Butti³², W. Buttinger²⁷, A. Buzatu¹⁵³, A.R. Buzykaev^{111,c}, S. Cabrera Urbán¹⁷⁰, D. Caforio¹³⁰, H. Cai¹⁶⁹, V.M. Cairo^{40a,40b}, O. Cakir^{4a}, N. Calace⁵², P. Calafiura¹⁶, A. Calandri⁸⁸, G. Calderini⁸³, P. Calfayan⁶⁴, G. Callea^{40a,40b}, L.P. Caloba^{26a}, S. Calvente Lopez⁸⁵, D. Calvet³⁷, S. Calvet³⁷, T.P. Calvet⁸⁸, R. Camacho Toro³³, S. Camarda³², P. Camarri^{135a,135b}, D. Cameron¹²¹, R. Caminal Armadans¹⁶⁹, C. Camincher⁵⁸, S. Campana³², M. Campanelli⁸¹, A. Camplani^{94a,94b}, A. Campoverde¹⁴³, V. Canale^{106a,106b}, M. Cano Bret^{36c}, J. Cantero¹¹⁶, T. Cao¹⁵⁵, M.D.M. Capeans Garrido³², I. Caprini^{28b}, M. Caprini^{28b}, M. Capua^{40a,40b}, R.M. Carbone³⁸, R. Cardarelli^{135a}, F. Cardillo⁵¹, I. Carli¹³¹, T. Carli³², G. Carlino^{106a}, B.T. Carlson¹²⁷, L. Carminati^{94a,94b}, R.M.D. Carney^{148a,148b}, S. Caron¹⁰⁸, E. Carquin^{34b}, S. Carrá^{94a,94b}, G.D. Carrillo-Montoya³², D. Casadei¹⁹, M.P. Casado^{13,j}, A.F. Casha¹⁶¹, M. Casolino¹³, D.W. Casper¹⁶⁶, R. Castelijns¹⁰⁹, V. Castillo Gimenez¹⁷⁰, N.F. Castro^{128a,k}, A. Catinaccio³², J.R. Catmore¹²¹, A. Cattai³², J. Caudron²³, V. Cavaliere¹⁶⁹, E. Cavallaro¹³, D. Cavalli^{94a}, M. Cavalli-Sforza¹³, V. Cavasinni^{126a,126b}, E. Celebi^{20d}, F. Ceradini^{136a,136b}, L. Cerda Alberich¹⁷⁰, A.S. Cerqueira^{26b}, A. Cerri¹⁵¹, L. Cerrito^{135a,135b}, F. Cerutti¹⁶, A. Cervelli^{22a,22b}, S.A. Cetin^{20d}, A. Chafaq^{137a}, D. Chakraborty¹¹⁰, S.K. Chan⁵⁹, W.S. Chan¹⁰⁹, Y.L. Chan^{62a}, P. Chang¹⁶⁹, J.D. Chapman³⁰, D.G. Charlton¹⁹, C.C. Chau³¹, C.A. Chavez Barajas¹⁵¹, S. Che¹¹³, S. Cheatham^{167a,167c}, A. Chegwiddden⁹³, S. Chekanov⁶, S.V. Chekulaev^{163a}, G.A. Chelkov^{68,l}, M.A. Chelstowska³², C. Chen^{36a}, C. Chen⁶⁷, H. Chen²⁷, J. Chen^{36a}, S. Chen^{35b}, S. Chen¹⁵⁷, X. Chen^{35c,m}, Y. Chen⁷⁰, H.C. Cheng⁹², H.J. Cheng^{35a}, A. Cheplakov⁶⁸, E. Cheremushkina¹³², R. Cherkaoui El Moursli^{137e}, E. Cheu⁷, K. Cheung⁶³, L. Chevalier¹³⁸, V. Chiarella⁵⁰, G. Chiarelli^{126a,126b}, G. Chiodini^{76a}, A.S. Chisholm³², A. Chitan^{28b}, Y.H. Chiu¹⁷², M.V. Chizhov⁶⁸, K. Choi⁶⁴, A.R. Chomont³⁷, S. Chouridou¹⁵⁶, Y.S. Chow^{62a}, V. Christodoulou⁸¹, M.C. Chu^{62a}, J. Chudoba¹²⁹, A.J. Chuinard⁹⁰, J.J. Chwastowski⁴², L. Chytka¹¹⁷, A.K. Ciftci^{4a}, D. Cinca⁴⁶, V. Cindro⁷⁸, I.A. Cioara²³, A. Ciochio¹⁶, F. Ciotto^{106a,106b}, Z.H. Citron¹⁷⁵, M. Citterio^{94a}, M. Ciubancan^{28b}, A. Clark⁵², B.L. Clark⁵⁹, M.R. Clark³⁸, P.J. Clark⁴⁹, R.N. Clarke¹⁶, C. Clement^{148a,148b}, Y. Coadou⁸⁸, M. Cobal^{167a,167c}, A. Coccaro⁵², J. Cochran⁶⁷, L. Colasurdo¹⁰⁸, B. Cole³⁸, A.P. Colijn¹⁰⁹, J. Collot⁵⁸, T. Colombo¹⁶⁶, P. Conde Muiño^{128a,128b}, E. Coniavitis⁵¹, S.H. Connell^{147b}, I.A. Connelly⁸⁷, S. Constantinescu^{28b}, G. Conti³², F. Conventi^{106a,n}, M. Cooke¹⁶, A.M. Cooper-Sarkar¹²², F. Cormier¹⁷¹, K.J.R. Cormier¹⁶¹, M. Corradi^{134a,134b}, F. Corriveau^{90,o}, A. Cortes-Gonzalez³², G. Costa^{94a}, M.J. Costa¹⁷⁰, D. Costanzo¹⁴¹, G. Cottin³⁰, G. Cowan⁸⁰, B.E. Cox⁸⁷, K. Cranmer¹¹², S.J. Crawley⁵⁶, R.A. Creager¹²⁴, G. Cree³¹, S. Crépe-Renaudin⁵⁸, F. Crescioli⁸³, W.A. Cribbs^{148a,148b}, M. Cristinziani²³, V. Croft¹¹², G. Crosetti^{40a,40b}, A. Cueto⁸⁵, T. Cuhadar Donszelmann¹⁴¹, A.R. Cukierman¹⁴⁵, J. Cummings¹⁷⁹, M. Curatolo⁵⁰, J. Cúth⁸⁶, S. Czekaierda⁴², P. Czodrowski³², G. D'amen^{22a,22b}, S. D'Auria⁵⁶, L. D'eraimo⁸³, M. D'Onofrio⁷⁷,

M.J. Da Cunha Sargedass De Sousa^{128a,128b}, C. Da Via⁸⁷, W. Dabrowski^{41a}, T. Dado^{146a}, T. Dai⁹², O. Dale¹⁵, F. Dallaire⁹⁷, C. Dallapiccola⁸⁹, M. Dam³⁹, J.R. Dandoy¹²⁴, M.F. Daneri²⁹, N.P. Dang¹⁷⁶, A.C. Daniells¹⁹, N.S. Dann⁸⁷, M. Danninger¹⁷¹, M. Dano Hoffmann¹³⁸, V. Dao¹⁵⁰, G. Darbo^{53a}, S. Darmora⁸, J. Dassoulas³, A. Dattagupta¹¹⁸, T. Daubney⁴⁵, W. Davey²³, C. David⁴⁵, T. Davidek¹³¹, D.R. Davis⁴⁸, P. Davison⁸¹, E. Dawe⁹¹, I. Dawson¹⁴¹, K. De⁸, R. de Asmundis^{106a}, A. De Benedetti¹¹⁵, S. De Castro^{22a,22b}, S. De Cecco⁸³, N. De Groot¹⁰⁸, P. de Jong¹⁰⁹, H. De la Torre⁹³, F. De Lorenzi⁶⁷, A. De Maria⁵⁷, D. De Pedis^{134a}, A. De Salvo^{134a}, U. De Sanctis^{135a,135b}, A. De Santo¹⁵¹, K. De Vasconcelos Corga⁸⁸, J.B. De Vivie De Regie¹¹⁹, R. Debbe²⁷, C. Debenedetti¹³⁹, D.V. Dedovich⁶⁸, N. Dehghanian³, I. Deigaard¹⁰⁹, M. Del Gaudio^{40a,40b}, J. Del Peso⁸⁵, D. Delgove¹¹⁹, F. Deliot¹³⁸, C.M. Delitzsch⁷, A. Dell'Acqua³², L. Dell'Asta²⁴, M. Dell'Orso^{126a,126b}, M. Della Pietra^{106a,106b}, D. della Volpe⁵², M. Delmastro⁵, C. Delporte¹¹⁹, P.A. Delsart⁵⁸, D.A. DeMarco¹⁶¹, S. Demers¹⁷⁹, M. Demichev⁶⁸, A. Demilly⁸³, S.P. Denisov¹³², D. Denysiuk¹³⁸, D. Derendarz⁴², J.E. Derkaoui^{137d}, F. Derue⁸³, P. Dervan⁷⁷, K. Desch²³, C. Deterre⁴⁵, K. Dette¹⁶¹, M.R. Devesa²⁹, P.O. Deviveiros³², A. Dewhurst¹³³, S. Dhaliwal²⁵, F.A. Di Bello⁵², A. Di Ciaccio^{135a,135b}, L. Di Ciaccio⁵, W.K. Di Clemente¹²⁴, C. Di Donato^{106a,106b}, A. Di Girolamo³², B. Di Girolamo³², B. Di Micco^{136a,136b}, R. Di Nardo³², K.F. Di Petrillo⁵⁹, A. Di Simone⁵¹, R. Di Sipio¹⁶¹, D. Di Valentino³¹, C. Diaconu⁸⁸, M. Diamond¹⁶¹, F.A. Dias³⁹, M.A. Diaz^{34a}, E.B. Diehl⁹², J. Dietrich¹⁷, S. Díez Cornell⁴⁵, A. Dimitrievska¹⁴, J. Dingfelder²³, P. Dita^{28b}, S. Dita^{28b}, F. Dittus³², F. Djama⁸⁸, T. Djobava^{54b}, J.I. Djuvsland^{60a}, M.A.B. do Vale^{26c}, D. Dobos³², M. Dobre^{28b}, D. Dodsworth²⁵, C. Doglioni⁸⁴, J. Dolejsi¹³¹, Z. Dolezal¹³¹, M. Donadelli^{26d}, S. Donati^{126a,126b}, P. Dondero^{123a,123b}, J. Donini³⁷, J. Dopke¹³³, A. Doria^{106a}, M.T. Dova⁷⁴, A.T. Doyle⁵⁶, E. Drechsler⁵⁷, M. Dris¹⁰, Y. Du^{36b}, J. Duarte-Campderros¹⁵⁵, F. Dubinin⁹⁸, A. Dubreuil⁵², E. Duchovni¹⁷⁵, G. Duckeck¹⁰², A. Ducourthial⁸³, O.A. Ducu^{97,p}, D. Duda¹⁰⁹, A. Dudarev³², A. Chr. Dudder⁸⁶, E.M. Duffield¹⁶, L. Duflot¹¹⁹, M. Dührssen³², C. Dulsen¹⁷⁸, M. Dumancic¹⁷⁵, A.E. Dumitriu^{28b}, A.K. Duncan⁵⁶, M. Dunford^{60a}, A. Duperrin⁸⁸, H. Duran Yildiz^{4a}, M. Düren⁵⁵, A. Durglishvili^{54b}, D. Duschinger⁴⁷, B. Dutta⁴⁵, D. Duvnjak¹, M. Dyndal⁴⁵, B.S. Dziedzic⁴², C. Eckardt⁴⁵, K.M. Ecker¹⁰³, R.C. Edgar⁹², T. Eifert³², G. Eigen¹⁵, K. Einsweiler¹⁶, T. Ekelof¹⁶⁸, M. El Kacimi^{137c}, R. El Kosseifi⁸⁸, V. Ellajosyula⁸⁸, M. Ellert¹⁶⁸, S. Elles⁵, F. Ellinghaus¹⁷⁸, A.A. Elliot¹⁷², N. Ellis³², J. Elmsheuser²⁷, M. Elsing³², D. Emelianov¹³³, Y. Enari¹⁵⁷, J.S. Ennis¹⁷³, M.B. Epland⁴⁸, J. Erdmann⁴⁶, A. Ereditato¹⁸, M. Ernst²⁷, S. Errede¹⁶⁹, M. Escalier¹¹⁹, C. Escobar¹⁷⁰, B. Esposito⁵⁰, O. Estrada Pastor¹⁷⁰, A.I. Etienne¹³⁸, E. Etzion¹⁵⁵, H. Evans⁶⁴, A. Ezhilov¹²⁵, M. Ezzi^{137e}, F. Fabbri^{22a,22b}, L. Fabbri^{22a,22b}, V. Fabiani¹⁰⁸, G. Facini⁸¹, R.M. Fakhruddinov¹³², S. Falciano^{134a}, R.J. Falla⁸¹, J. Faltova³², Y. Fang^{35a}, M. Fanti^{94a,94b}, A. Farbin⁸, A. Farilla^{136a}, C. Farina¹²⁷, E.M. Farina^{123a,123b}, T. Farooque⁹³, S. Farrell¹⁶, S.M. Farrington¹⁷³, P. Farthouat³², F. Fassi^{137e}, P. Fassnacht³², D. Fassouliotis⁹, M. Fauci Giannelli⁴⁹, A. Favareto^{53a,53b}, W.J. Fawcett¹²², L. Fayard¹¹⁹, O.L. Fedin^{125,q}, W. Fedorko¹⁷¹, S. Feigl¹²¹, L. Feligioni⁸⁸, C. Feng^{36b}, E.J. Feng³², M.J. Fenton⁵⁶, A.B. Fenyuk¹³², L. Feremenga⁸, P. Fernandez Martinez¹⁷⁰, J. Ferrando⁴⁵, A. Ferrari¹⁶⁸, P. Ferrari¹⁰⁹, R. Ferrari^{123a}, D.E. Ferreira de Lima^{60b}, A. Ferrer¹⁷⁰, D. Ferrere⁵², C. Ferretti⁹², F. Fiedler⁸⁶, A. Filipčić⁷⁸, M. Filipuzzi⁴⁵, F. Filthaut¹⁰⁸, M. Fincke-Keeler¹⁷², K.D. Finelli²⁴, M.C.N. Fiolhais^{128a,128c,r}, L. Fiorini¹⁷⁰, A. Fischer², C. Fischer¹³, J. Fischer¹⁷⁸, W.C. Fisher⁹³, N. Flaschel⁴⁵, I. Fleck¹⁴³, P. Fleischmann⁹², R.R.M. Fletcher¹²⁴, T. Flick¹⁷⁸, B.M. Flierl¹⁰², L.R. Flores Castillo^{62a}, M.J. Flowerdew¹⁰³, G.T. Forcolin⁸⁷, A. Formica¹³⁸, F.A. Förster¹³, A. Forti⁸⁷, A.G. Foster¹⁹, D. Fournier¹¹⁹, H. Fox⁷⁵, S. Fracchia¹⁴¹, P. Francavilla⁸³, M. Franchini^{22a,22b}, S. Franchino^{60a}, D. Francis³², L. Franconi¹²¹, M. Franklin⁵⁹, M. Frate¹⁶⁶, M. Fraternali^{123a,123b}, D. Freeborn⁸¹, S.M. Fressard-Batraneanu³², B. Freund⁹⁷, D. Froidevaux³², J.A. Frost¹²², C. Fukunaga¹⁵⁸, T. Fusayasu¹⁰⁴, J. Fuster¹⁷⁰, O. Gabizon¹⁵⁴, A. Gabrielli^{22a,22b}, A. Gabrielli¹⁶, G.P. Gach^{41a}, S. Gadatsch³², S. Gadomski⁸⁰, G. Gagliardi^{53a,53b}, L.G. Gagnon⁹⁷, C. Galea¹⁰⁸, B. Galhardo^{128a,128c},

E.J. Gallas¹²², B.J. Gallop¹³³, P. Gallus¹³⁰, G. Galster³⁹, K.K. Gan¹¹³, S. Ganguly³⁷, Y. Gao⁷⁷, Y.S. Gao^{145,g}, F.M. Garay Walls^{34a}, C. García¹⁷⁰, J.E. García Navarro¹⁷⁰, J.A. García Pascual^{35a}, M. Garcia-Sciveres¹⁶, R.W. Gardner³³, N. Garelli¹⁴⁵, V. Garonne¹²¹, A. Gascon Bravo⁴⁵, K. Gasnikova⁴⁵, C. Gatti⁵⁰, A. Gaudiello^{53a,53b}, G. Gaudio^{123a}, I.L. Gavrilenko⁹⁸, C. Gay¹⁷¹, G. Gaycken²³, E.N. Gazis¹⁰, C.N.P. Gee¹³³, J. Geisen⁵⁷, M. Geisen⁸⁶, M.P. Geisler^{60a}, K. Gellerstedt^{148a,148b}, C. Gemme^{53a}, M.H. Genest⁵⁸, C. Geng⁹², S. Gentile^{134a,134b}, C. Gentsos¹⁵⁶, S. George⁸⁰, D. Gerbaudo¹³, G. Geßner⁴⁶, S. Ghasemi¹⁴³, M. Ghneimat²³, B. Giacobbe^{22a}, S. Giagu^{134a,134b}, N. Giangiacomi^{22a,22b}, P. Giannetti^{126a,126b}, S.M. Gibson⁸⁰, M. Gignac¹⁷¹, M. Gilchriese¹⁶, D. Gillberg³¹, G. Gilles¹⁷⁸, D.M. Gingrich^{3,d}, M.P. Giordani^{167a,167c}, F.M. Giorgi^{22a}, P.F. Giraud¹³⁸, P. Giromini⁵⁹, G. Giugliarelli^{167a,167c}, D. Giugni^{94a}, F. Giuli¹²², C. Giuliani¹⁰³, M. Giulini^{60b}, B.K. Gjølsten¹²¹, S. Gkaitatzis¹⁵⁶, I. Gkialas^{9,s}, E.L. Gkougkousis¹³, P. Gkoutoumis¹⁰, L.K. Gladilin¹⁰¹, C. Glasman⁸⁵, J. Glatzer¹³, P.C.F. Glaysheer⁴⁵, A. Glazov⁴⁵, M. Goblirsch-Kolb²⁵, J. Godlewski⁴², S. Goldfarb⁹¹, T. Golling⁵², D. Golubkov¹³², A. Gomes^{128a,128b,128d}, R. Gonçalves^{128a}, R. Goncalves Gama^{26a}, J. Goncalves Pinto Firmino Da Costa¹³⁸, G. Gonella⁵¹, L. Gonella¹⁹, A. Gongadze⁶⁸, J.L. Gonski⁵⁹, S. González de la Hoz¹⁷⁰, S. Gonzalez-Sevilla⁵², L. Goossens³², P.A. Gorbounov⁹⁹, H.A. Gordon²⁷, I. Gorelov¹⁰⁷, B. Gorini³², E. Gorini^{76a,76b}, A. Gorišek⁷⁸, A.T. Goshaw⁴⁸, C. Gössling⁴⁶, M.I. Gostkin⁶⁸, C.A. Gottardo²³, C.R. Goudet¹¹⁹, D. Goujdami^{137c}, A.G. Goussiou¹⁴⁰, N. Govender^{147b,t}, E. Gozani¹⁵⁴, I. Grabowska-Bold^{41a}, P.O.J. Gradin¹⁶⁸, J. Gramling¹⁶⁶, E. Gramstad¹²¹, S. Grancagnolo¹⁷, V. Gratchev¹²⁵, P.M. Gravila^{28f}, C. Gray⁵⁶, H.M. Gray¹⁶, Z.D. Greenwood^{82,u}, C. Grefe²³, K. Gregersen⁸¹, I.M. Gregor⁴⁵, P. Grenier¹⁴⁵, K. Grevtsov⁵, J. Griffiths⁸, A.A. Grillo¹³⁹, K. Grimm⁷⁵, S. Grinstein^{13,v}, Ph. Gris³⁷, J.-F. Grivaz¹¹⁹, S. Groh⁸⁶, E. Gross¹⁷⁵, J. Grosse-Knetter⁵⁷, G.C. Grossi⁸², Z.J. Grout⁸¹, A. Grummer¹⁰⁷, L. Guan⁹², W. Guan¹⁷⁶, J. Guenther³², F. Guescini^{163a}, D. Guest¹⁶⁶, O. Gueta¹⁵⁵, B. Gui¹¹³, E. Guido^{53a,53b}, T. Guillemin⁵, S. Guindon³², U. Gul⁵⁶, C. Gumpert³², J. Guo^{36c}, W. Guo⁹², Y. Guo^{36a,w}, R. Gupta⁴³, S. Gurbuz^{20a}, G. Gustavino¹¹⁵, B.J. Gutelman¹⁵⁴, P. Gutierrez¹¹⁵, N.G. Gutierrez Ortiz⁸¹, C. Gutsche⁸¹, C. Guyot¹³⁸, M.P. Guzik^{41a}, C. Gwenlan¹²², C.B. Gwilliam⁷⁷, A. Haas¹¹², C. Haber¹⁶, H.K. Hadavand⁸, N. Haddad^{137e}, A. Hadeef⁸⁸, S. Hageböck²³, M. Hagihara¹⁶⁴, H. Hakobyan^{180,*}, M. Haleem⁴⁵, J. Haley¹¹⁶, G. Halladjian⁹³, G.D. Hallewell⁸⁸, K. Hamacher¹⁷⁸, P. Hamal¹¹⁷, K. Hamano¹⁷², A. Hamilton^{147a}, G.N. Hamity¹⁴¹, P.G. Hamnett⁴⁵, L. Han^{36a}, S. Han^{35a}, K. Hanagaki^{69,x}, K. Hanawa¹⁵⁷, M. Hance¹³⁹, D.M. Handl¹⁰², B. Haney¹²⁴, P. Hanke^{60a}, J.B. Hansen³⁹, J.D. Hansen³⁹, M.C. Hansen²³, P.H. Hansen³⁹, K. Hara¹⁶⁴, A.S. Hard¹⁷⁶, T. Harenberg¹⁷⁸, F. Hariri¹¹⁹, S. Harkusha⁹⁵, P.F. Harrison¹⁷³, N.M. Hartmann¹⁰², Y. Hasegawa¹⁴², A. Hasib⁴⁹, S. Hassani¹³⁸, S. Haug¹⁸, R. Hauser⁹³, L. Hauswald⁴⁷, L.B. Havener³⁸, M. Havranek¹³⁰, C.M. Hawkes¹⁹, R.J. Hawkings³², D. Hayakawa¹⁵⁹, D. Hayden⁹³, C.P. Hays¹²², J.M. Hays⁷⁹, H.S. Hayward⁷⁷, S.J. Haywood¹³³, S.J. Head¹⁹, T. Heck⁸⁶, V. Hedberg⁸⁴, L. Heelan⁸, S. Heer²³, K.K. Heidegger⁵¹, S. Heim⁴⁵, T. Heim¹⁶, B. Heinemann^{45,y}, J.J. Heinrich¹⁰², L. Heinrich¹¹², C. Heinz⁵⁵, J. Hejbal¹²⁹, L. Helary³², A. Held¹⁷¹, S. Hellman^{148a,148b}, C. Helsens³², R.C.W. Henderson⁷⁵, Y. Heng¹⁷⁶, S. Henkelmann¹⁷¹, A.M. Henriques Correia³², S. Henrot-Versille¹¹⁹, G.H. Herbert¹⁷, H. Herde²⁵, V. Herget¹⁷⁷, Y. Hernández Jiménez^{147c}, H. Herr⁸⁶, G. Herten⁵¹, R. Hertenberger¹⁰², L. Hervas³², T.C. Herwig¹²⁴, G.G. Hesketh⁸¹, N.P. Hessey^{163a}, J.W. Hetherly⁴³, S. Higashino⁶⁹, E. Higón-Rodríguez¹⁷⁰, K. Hildebrand³³, E. Hill¹⁷², J.C. Hill³⁰, K.H. Hiller⁴⁵, S.J. Hillier¹⁹, M. Hils⁴⁷, I. Hinchliffe¹⁶, M. Hirose⁵¹, D. Hirschbuehl¹⁷⁸, B. Hiti⁷⁸, O. Hladik¹²⁹, D.R. Hlaluku^{147c}, X. Hoad⁴⁹, J. Hobbs¹⁵⁰, N. Hod^{163a}, M.C. Hodgkinson¹⁴¹, P. Hodgson¹⁴¹, A. Hoecker³², M.R. Hoferkamp¹⁰⁷, F. Hoenig¹⁰², D. Hohn²³, T.R. Holmes³³, M. Homann⁴⁶, S. Honda¹⁶⁴, T. Honda⁶⁹, T.M. Hong¹²⁷, B.H. Hooberman¹⁶⁹, W.H. Hopkins¹¹⁸, Y. Horii¹⁰⁵, A.J. Horton¹⁴⁴, J.-Y. Hostachy⁵⁸, A. Hostiuc¹⁴⁰, S. Hou¹⁵³, A. Hoummada^{137a}, J. Howarth⁸⁷, J. Hoya⁷⁴, M. Hrabovsky¹¹⁷, J. Hrdinka³², I. Hristova¹⁷, J. Hrivnac¹¹⁹, T. Hryn'ova⁵, A. Hrynevich⁹⁶, P.J. Hsu⁶³, S.-C. Hsu¹⁴⁰, Q. Hu²⁷, S. Hu^{36c}, Y. Huang^{35a},

Z. Hubacek¹³⁰, F. Hubaut⁸⁸, F. Huegging²³, T.B. Huffman¹²², E.W. Hughes³⁸, M. Huhtinen³², R.F.H. Hunter³¹, P. Huo¹⁵⁰, N. Huseynov^{68,b}, J. Huston⁹³, J. Huth⁵⁹, R. Hyneman⁹², G. Iacobucci⁵², G. Iakovidis²⁷, I. Ibragimov¹⁴³, L. Iconomidou-Fayard¹¹⁹, Z. Idrissi^{137e}, P. Iengo³², O. Igonkina^{109,z}, T. Iizawa¹⁷⁴, Y. Ikegami⁶⁹, M. Ikeno⁶⁹, Y. Ilchenko^{11,aa}, D. Iliadis¹⁵⁶, N. Ilic¹⁴⁵, F. Iltzsche⁴⁷, G. Introzzi^{123a,123b}, P. Ioannou^{9,*}, M. Iodice^{136a}, K. Iordanidou³⁸, V. Ippolito⁵⁹, M.F. Isacson¹⁶⁸, N. Ishijima¹²⁰, M. Ishino¹⁵⁷, M. Ishitsuka¹⁵⁹, C. Issever¹²², S. Istin^{20a}, F. Ito¹⁶⁴, J.M. Iturbe Ponce^{62a}, R. Iuppa^{162a,162b}, H. Iwasaki⁶⁹, J.M. Izen⁴⁴, V. Izzo^{106a}, S. Jabbar³, P. Jackson¹, R.M. Jacobs²³, V. Jain², K.B. Jakobi⁸⁶, K. Jakobs⁵¹, S. Jakobsen⁶⁵, T. Jakoubek¹²⁹, D.O. Jamin¹¹⁶, D.K. Jana⁸², R. Jansky⁵², J. Janssen²³, M. Janus⁵⁷, P.A. Janus^{41a}, G. Jarlskog⁸⁴, N. Javadov^{68,b}, T. Javůrek⁵¹, M. Javurkova⁵¹, F. Jeanneau¹³⁸, L. Jeanty¹⁶, J. Jejelava^{54a,ab}, A. Jelinskas¹⁷³, P. Jenni^{51,ac}, C. Jeske¹⁷³, S. Jézéquel⁵, H. Ji¹⁷⁶, J. Jia¹⁵⁰, H. Jiang⁶⁷, Y. Jiang^{36a}, Z. Jiang¹⁴⁵, S. Jiggins⁸¹, J. Jimenez Pena¹⁷⁰, S. Jin^{35b}, A. Jinaru^{28b}, O. Jinnouchi¹⁵⁹, H. Jivan^{147c}, P. Johansson¹⁴¹, K.A. Johns⁷, C.A. Johnson⁶⁴, W.J. Johnson¹⁴⁰, K. Jon-And^{148a,148b}, R.W.L. Jones⁷⁵, S.D. Jones¹⁵¹, S. Jones⁷, T.J. Jones⁷⁷, J. Jongmanns^{60a}, P.M. Jorge^{128a,128b}, J. Jovicevic^{163a}, X. Ju¹⁷⁶, A. Juste Rozas^{13,v}, M.K. Köhler¹⁷⁵, A. Kaczmarska⁴², M. Kado¹¹⁹, H. Kagan¹¹³, M. Kagan¹⁴⁵, S.J. Kahn⁸⁸, T. Kaji¹⁷⁴, E. Kajomovitz¹⁵⁴, C.W. Kalderon⁸⁴, A. Kaluza⁸⁶, S. Kama⁴³, A. Kamenshchikov¹³², N. Kanaya¹⁵⁷, L. Kanjir⁷⁸, V.A. Kantserov¹⁰⁰, J. Kanzaki⁶⁹, B. Kaplan¹¹², L.S. Kaplan¹⁷⁶, D. Kar^{147c}, K. Karakostas¹⁰, N. Karastathis¹⁰, M.J. Kareem^{163b}, E. Karentzos¹⁰, S.N. Karpov⁶⁸, Z.M. Karpova⁶⁸, K. Karthik¹¹², V. Kartvelishvili⁷⁵, A.N. Karyukhin¹³², K. Kasahara¹⁶⁴, L. Kashif¹⁷⁶, R.D. Kass¹¹³, A. Kastanas¹⁴⁹, Y. Kataoka¹⁵⁷, C. Kato¹⁵⁷, A. Katre⁵², J. Katzy⁴⁵, K. Kawade⁷⁰, K. Kawagoe⁷³, T. Kawamoto¹⁵⁷, G. Kawamura⁵⁷, E.F. Kay⁷⁷, V.F. Kazanin^{111,c}, R. Keeler¹⁷², R. Kehoe⁴³, J.S. Keller³¹, E. Kellermann⁸⁴, J.J. Kempster⁸⁰, J. Kendrick¹⁹, H. Keoshkerian¹⁶¹, O. Kepka¹²⁹, B.P. Kerševan⁷⁸, S. Kersten¹⁷⁸, R.A. Keyes⁹⁰, M. Khader¹⁶⁹, F. Khalil-zada¹², A. Khanov¹¹⁶, A.G. Kharlamov^{111,c}, T. Kharlamova^{111,c}, A. Khodinov¹⁶⁰, T.J. Khoo⁵², V. Khovanskiy^{99,*}, E. Khramov⁶⁸, J. Khubua^{54b,ad}, S. Kido⁷⁰, C.R. Kilby⁸⁰, H.Y. Kim⁸, S.H. Kim¹⁶⁴, Y.K. Kim³³, N. Kimura¹⁵⁶, O.M. Kind¹⁷, B.T. King⁷⁷, D. Kirchmeier⁴⁷, J. Kirk¹³³, A.E. Kiryunin¹⁰³, T. Kishimoto¹⁵⁷, D. Kisielewska^{41a}, V. Kitai⁴⁵, O. Kivernyk⁵, E. Kladiva^{146b}, T. Klapdor-Kleingrothaus⁵¹, M.H. Klein⁹², M. Klein⁷⁷, U. Klein⁷⁷, K. Kleinknecht⁸⁶, P. Klimek¹¹⁰, A. Klimentov²⁷, R. Klingenberg^{46,*}, T. Klingl²³, T. Klioutchnikova³², F.F. Klitzner¹⁰², E.-E. Kluge^{60a}, P. Kluit¹⁰⁹, S. Kluth¹⁰³, E. Kneringer⁶⁵, E.B.F.G. Knoops⁸⁸, A. Knue¹⁰³, A. Kobayashi¹⁵⁷, D. Kobayashi⁷³, T. Kobayashi¹⁵⁷, M. Kobel⁴⁷, M. Kocian¹⁴⁵, P. Kodys¹³¹, T. Koffas³¹, E. Koffeman¹⁰⁹, N.M. Köhler¹⁰³, T. Koi¹⁴⁵, M. Kolb^{60b}, I. Koletsou⁵, T. Kondo⁶⁹, N. Kondrashova^{36c}, K. Köneke⁵¹, A.C. König¹⁰⁸, T. Kono^{69,ae}, R. Konoplich^{112,af}, N. Konstantinidis⁸¹, B. Konya⁸⁴, R. Kopeliansky⁶⁴, S. Koperny^{41a}, A.K. Kopp⁵¹, K. Korcyl⁴², K. Kordas¹⁵⁶, A. Korn⁸¹, A.A. Korol^{111,c}, I. Korolkov¹³, E.V. Korolkova¹⁴¹, O. Kortner¹⁰³, S. Kortner¹⁰³, T. Kosek¹³¹, V.V. Kostyukhin²³, A. Kotwal⁴⁸, A. Koulouris¹⁰, A. Kourkoumeli-Charalampidi^{123a,123b}, C. Kourkoumelis⁹, E. Kourlitis¹⁴¹, V. Kouskoura²⁷, A.B. Kowalewska⁴², R. Kowalewski¹⁷², T.Z. Kowalski^{41a}, C. Kozakai¹⁵⁷, W. Kozanecki¹³⁸, A.S. Kozhin¹³², V.A. Kramarenko¹⁰¹, G. Kramberger⁷⁸, D. Krasnopevtsev¹⁰⁰, M.W. Krasny⁸³, A. Krasznahorkay³², D. Krauss¹⁰³, J.A. Kremer^{41a}, J. Kretzschmar⁷⁷, K. Kreutzfeldt⁵⁵, P. Krieger¹⁶¹, K. Krizka¹⁶, K. Kroeninger⁴⁶, H. Kroha¹⁰³, J. Kroll¹²⁹, J. Kroll¹²⁴, J. Kroseberg²³, J. Krstic¹⁴, U. Kruchonak⁶⁸, H. Krüger²³, N. Krumnack⁶⁷, M.C. Kruse⁴⁸, T. Kubota⁹¹, H. Kucuk⁸¹, S. Kудay^{4b}, J.T. Kuechler¹⁷⁸, S. Kuehn³², A. Kugel^{60a}, F. Kuger¹⁷⁷, T. Kuhl⁴⁵, V. Kukhtin⁶⁸, R. Kukla⁸⁸, Y. Kulchitsky⁹⁵, S. Kuleshov^{34b}, Y.P. Kulinich¹⁶⁹, M. Kuna^{134a,134b}, T. Kunigo⁷¹, A. Kupco¹²⁹, T. Kupfer⁴⁶, O. Kuprash¹⁵⁵, H. Kurashige⁷⁰, L.L. Kurchaninov^{163a}, Y.A. Kurochkin⁹⁵, M.G. Kurth^{35a}, E.S. Kuwertz¹⁷², M. Kuze¹⁵⁹, J. Kvita¹¹⁷, T. Kwan¹⁷², D. Kyriazopoulos¹⁴¹, A. La Rosa¹⁰³, J.L. La Rosa Navarro^{26d}, L. La Rotonda^{40a,40b}, F. La Ruffa^{40a,40b}, C. Lacasta¹⁷⁰, F. Lacava^{134a,134b}, J. Lacey⁴⁵, D.P.J. Lack⁸⁷, H. Lacker¹⁷, D. Lacour⁸³, E. Ladygin⁶⁸, R. Lafaye⁵, B. Laforge⁸³, T. Lagouri¹⁷⁹, S. Lai⁵⁷, S. Lammers⁶⁴, W. Lampl⁷, E. Lançon²⁷, U. Landgraf⁵¹, M.P.J. Landon⁷⁹,

M.C. Lanfermann⁵², V.S. Lang⁴⁵, J.C. Lange¹³, R.J. Langenberg³², A.J. Lankford¹⁶⁶, F. Lanni²⁷,
K. Lantzsche²³, A. Lanza^{123a}, A. Lapertosa^{53a,53b}, S. Laplace⁸³, J.F. Laporte¹³⁸, T. Lari^{94a},
F. Lasagni Manghi^{22a,22b}, M. Lassnig³², T.S. Lau^{62a}, P. Laurelli⁵⁰, W. Lavrijsen¹⁶, A.T. Law¹³⁹,
P. Laycock⁷⁷, T. Lazovich⁵⁹, M. Lazzaroni^{94a,94b}, B. Le⁹¹, O. Le Dortz⁸³, E. Le Guirriec⁸⁸,
E.P. Le Quilleuc¹³⁸, M. LeBlanc¹⁷², T. LeCompte⁶, F. Ledroit-Guillon⁵⁸, C.A. Lee²⁷, G.R. Lee^{34a},
S.C. Lee¹⁵³, L. Lee⁵⁹, B. Lefebvre⁹⁰, G. Lefebvre⁸³, M. Lefebvre¹⁷², F. Legger¹⁰², C. Leggett¹⁶,
G. Lehmann Miotto³², X. Lei⁷, W.A. Leight⁴⁵, M.A.L. Leite^{26d}, R. Leitner¹³¹, D. Lellouch¹⁷⁵,
B. Lemmer⁵⁷, K.J.C. Leney⁸¹, T. Lenz²³, B. Lenzi³², R. Leone⁷, S. Leone^{126a,126b}, C. Leonidopoulos⁴⁹,
G. Lerner¹⁵¹, C. Leroy⁹⁷, R. Les¹⁶¹, A.A.J. Lesage¹³⁸, C.G. Lester³⁰, M. Levchenko¹²⁵, J. Levêque⁵,
D. Levin⁹², L.J. Levinson¹⁷⁵, M. Levy¹⁹, D. Lewis⁷⁹, B. Li^{36a,w}, Changqiao Li^{36a}, H. Li¹⁵⁰, L. Li^{36c},
Q. Li^{35a}, Q. Li^{36a}, S. Li⁴⁸, X. Li^{36c}, Y. Li¹⁴³, Z. Liang^{35a}, B. Liberti^{135a}, A. Liblong¹⁶¹, K. Lie^{62c},
J. Liebal²³, W. Liebig¹⁵, A. Limosani¹⁵², K. Lin⁹³, S.C. Lin¹⁸², T.H. Lin⁸⁶, R.A. Linck⁶⁴,
B.E. Lindquist¹⁵⁰, A.E. Lioni⁵², E. Lipeles¹²⁴, A. Lipniacka¹⁵, M. Lisovyi^{60b}, T.M. Liss^{169,ag},
A. Lister¹⁷¹, A.M. Litke¹³⁹, B. Liu⁶⁷, H. Liu⁹², H. Liu²⁷, J.K.K. Liu¹²², J. Liu^{36b}, J.B. Liu^{36a}, K. Liu⁸⁸,
L. Liu¹⁶⁹, M. Liu^{36a}, Y.L. Liu^{36a}, Y. Liu^{36a}, M. Livan^{123a,123b}, A. Lleres⁵⁸, J. Llorente Merino^{35a},
S.L. Lloyd⁷⁹, C.Y. Lo^{62b}, F. Lo Sterzo⁴³, E.M. Lobodzinska⁴⁵, P. Loch⁷, F.K. Loebinger⁸⁷, A. Loesle⁵¹,
K.M. Loew²⁵, T. Lohse¹⁷, K. Lohwasser¹⁴¹, M. Lokajicek¹²⁹, B.A. Long²⁴, J.D. Long¹⁶⁹, R.E. Long⁷⁵,
L. Longo^{76a,76b}, K.A. Looper¹¹³, J.A. Lopez^{34b}, I. Lopez Paz¹³, A. Lopez Solis⁸³, J. Lorenz¹⁰²,
N. Lorenzo Martinez⁵, M. Losada²¹, P.J. Lösel¹⁰², X. Lou^{35a}, A. Lounis¹¹⁹, J. Love⁶, P.A. Love⁷⁵,
H. Lu^{62a}, N. Lu⁹², Y.J. Lu⁶³, H.J. Lubatti¹⁴⁰, C. Luci^{134a,134b}, A. Lucotte⁵⁸, C. Luedtke⁵¹, F. Luehring⁶⁴,
W. Lukas⁶⁵, L. Luminari^{134a}, O. Lundberg^{148a,148b}, B. Lund-Jensen¹⁴⁹, M.S. Lutz⁸⁹, P.M. Luzi⁸³,
D. Lynn²⁷, R. Lysak¹²⁹, E. Lytken⁸⁴, F. Lyu^{35a}, V. Lyubushkin⁶⁸, H. Ma²⁷, L.L. Ma^{36b}, Y. Ma^{36b},
G. Maccarrone⁵⁰, A. Macchiolo¹⁰³, C.M. Macdonald¹⁴¹, B. Maček⁷⁸, J. Machado Miguens^{124,128b},
D. Madaffari¹⁷⁰, R. Madar³⁷, W.F. Mader⁴⁷, A. Madsen⁴⁵, N. Madysa⁴⁷, J. Maeda⁷⁰, S. Maeland¹⁵,
T. Maeno²⁷, A.S. Maevskiy¹⁰¹, V. Magerl⁵¹, C. Maiani¹¹⁹, C. Maidantchik^{26a}, T. Maier¹⁰²,
A. Maio^{128a,128b,128d}, O. Majersky^{146a}, S. Majewski¹¹⁸, Y. Makida⁶⁹, N. Makovec¹¹⁹, B. Malaescu⁸³,
Pa. Malecki⁴², V.P. Maleev¹²⁵, F. Malek⁵⁸, U. Mallik⁶⁶, D. Malon⁶, C. Malone³⁰, S. Maltezos¹⁰,
S. Malyukov³², J. Mamuzic¹⁷⁰, G. Mancini⁵⁰, I. Mandić⁷⁸, J. Maneira^{128a,128b},
L. Manhaes de Andrade Filho^{26b}, J. Manjarres Ramos⁴⁷, K.H. Mankinen⁸⁴, A. Mann¹⁰², A. Manousos³²,
B. Mansoulie¹³⁸, J.D. Mansour^{35a}, R. Mantifel⁹⁰, M. Mantoani⁵⁷, S. Manzoni^{94a,94b}, L. Mapelli³²,
G. Marceca²⁹, L. March⁵², L. Marchese¹²², G. Marchiori⁸³, M. Marcisovsky¹²⁹, C.A. Marin Tobon³²,
M. Marjanovic³⁷, D.E. Marley⁹², F. Marroquim^{26a}, S.P. Marsden⁸⁷, Z. Marshall¹⁶,
M.U.F. Martensson¹⁶⁸, S. Marti-Garcia¹⁷⁰, C.B. Martin¹¹³, T.A. Martin¹⁷³, V.J. Martin⁴⁹,
B. Martin dit Latour¹⁵, M. Martinez^{13,v}, V.I. Martinez Outschoorn¹⁶⁹, S. Martin-Haugh¹³³,
V.S. Martoiu^{28b}, A.C. Martyniuk⁸¹, A. Marzin³², L. Masetti⁸⁶, T. Mashimo¹⁵⁷, R. Mashinistov⁹⁸,
J. Masik⁸⁷, A.L. Maslennikov^{111,c}, L.H. Mason⁹¹, L. Massa^{135a,135b}, P. Mastrandrea⁵,
A. Mastroberardino^{40a,40b}, T. Masubuchi¹⁵⁷, P. Mättig¹⁷⁸, J. Maurer^{28b}, S.J. Maxfield⁷⁷,
D.A. Maximov^{111,c}, R. Mazini¹⁵³, I. Maznas¹⁵⁶, S.M. Mazza^{94a,94b}, N.C. Mc Fadden¹⁰⁷,
G. Mc Goldrick¹⁶¹, S.P. Mc Kee⁹², A. McCarn⁹², R.L. McCarthy¹⁵⁰, T.G. McCarthy¹⁰³,
L.I. McClymont⁸¹, E.F. McDonald⁹¹, J.A. Mcfayden³², G. Mchedlidze⁵⁷, K.D. McLean¹⁷²,
S.J. McMahon¹³³, P.C. McNamara⁹¹, C.J. McNicol¹⁷³, R.A. McPherson^{172,o}, S. Meehan¹⁴⁰,
T.J. Megy⁵¹, S. Mehlhase¹⁰², A. Mehta⁷⁷, T. Meideck⁵⁸, K. Meier^{60a}, B. Meirose⁴⁴, D. Melini^{170,ah},
B.R. Mellado Garcia^{147c}, J.D. Mellenthin⁵⁷, M. Melo^{146a}, F. Meloni¹⁸, A. Melzer²³, S.B. Menary⁸⁷,
L. Meng⁷⁷, X.T. Meng⁹², A. Mengarelli^{22a,22b}, S. Menke¹⁰³, E. Meoni^{40a,40b}, S. Mergelmeyer¹⁷,
C. Merlassino¹⁸, P. Mermod⁵², L. Merola^{106a,106b}, C. Meroni^{94a}, F.S. Merritt³³, A. Messina^{134a,134b},
J. Metcalfe⁶, A.S. Mete¹⁶⁶, C. Meyer¹²⁴, J-P. Meyer¹³⁸, J. Meyer¹⁰⁹, H. Meyer Zu Theenhausen^{60a},
F. Miano¹⁵¹, R.P. Middleton¹³³, S. Miglioranza^{53a,53b}, L. Mijović⁴⁹, G. Mikenberg¹⁷⁵,

M. Mikestikova¹²⁹, M. Mikuž⁷⁸, M. Milesi⁹¹, A. Milic¹⁶¹, D.A. Millar⁷⁹, D.W. Miller³³, C. Mills⁴⁹, A. Milov¹⁷⁵, D.A. Milstead^{148a,148b}, A.A. Minaenko¹³², Y. Minami¹⁵⁷, I.A. Minashvili^{54b}, A.I. Mincer¹¹², B. Mindur^{41a}, M. Mineev⁶⁸, Y. Minegishi¹⁵⁷, Y. Ming¹⁷⁶, L.M. Mir¹³, A. Mirto^{76a,76b}, K.P. Mistry¹²⁴, T. Mitani¹⁷⁴, J. Mitrevski¹⁰², V.A. Mitsou¹⁷⁰, A. Miucci¹⁸, P.S. Miyagawa¹⁴¹, A. Mizukami⁶⁹, J.U. Mjörnmark⁸⁴, T. Mkrtchyan¹⁸⁰, M. Mlynarikova¹³¹, T. Moa^{148a,148b}, K. Mochizuki⁹⁷, P. Mogg⁵¹, S. Mohapatra³⁸, S. Molander^{148a,148b}, R. Moles-Valls²³, M.C. Mondragon⁹³, K. Mönig⁴⁵, J. Monk³⁹, E. Monnier⁸⁸, A. Montalbano¹⁵⁰, J. Montejo Berlingen³², F. Monticelli⁷⁴, S. Monzani^{94a,94b}, R.W. Moore³, N. Morange¹¹⁹, D. Moreno²¹, M. Moreno Llácer³², P. Morettini^{53a}, S. Morgenstern³², D. Mori¹⁴⁴, T. Mori¹⁵⁷, M. Morii⁵⁹, M. Morinaga¹⁷⁴, V. Morisbak¹²¹, A.K. Morley³², G. Mornacchi³², J.D. Morris⁷⁹, L. Morvaj¹⁵⁰, P. Moschovakos¹⁰, M. Mosidze^{54b}, H.J. Moss¹⁴¹, J. Moss^{145,ai}, K. Motohashi¹⁵⁹, R. Mount¹⁴⁵, E. Mountricha²⁷, E.J.W. Moyse⁸⁹, S. Muanza⁸⁸, F. Mueller¹⁰³, J. Mueller¹²⁷, R.S.P. Mueller¹⁰², D. Muenstermann⁷⁵, P. Mullen⁵⁶, G.A. Mullier¹⁸, F.J. Munoz Sanchez⁸⁷, W.J. Murray^{173,133}, H. Musheghyan³², M. Muškinja⁷⁸, A.G. Myagkov^{132,aj}, M. Myska¹³⁰, B.P. Nachman¹⁶, O. Nackenhorst⁵², K. Nagai¹²², R. Nagai^{69,ae}, K. Nagano⁶⁹, Y. Nagasaka⁶¹, K. Nagata¹⁶⁴, M. Nagel⁵¹, E. Nagy⁸⁸, A.M. Nairz³², Y. Nakahama¹⁰⁵, K. Nakamura⁶⁹, T. Nakamura¹⁵⁷, I. Nakano¹¹⁴, R.F. Naranjo Garcia⁴⁵, R. Narayan¹¹, D.I. Narrias Villar^{60a}, I. Naryshkin¹²⁵, T. Naumann⁴⁵, G. Navarro²¹, R. Nayyar⁷, H.A. Neal⁹², P.Yu. Nechaeva⁹⁸, T.J. Neep¹³⁸, A. Negri^{123a,123b}, M. Negrini^{22a}, S. Nektarijevic¹⁰⁸, C. Nellist⁵⁷, A. Nelson¹⁶⁶, M.E. Nelson¹²², S. Nemecek¹²⁹, P. Nemethy¹¹², M. Nessi^{32,ak}, M.S. Neubauer¹⁶⁹, M. Neumann¹⁷⁸, P.R. Newman¹⁹, T.Y. Ng^{62c}, T. Nguyen Manh⁹⁷, R.B. Nickerson¹²², R. Nicolaidou¹³⁸, J. Nielsen¹³⁹, N. Nikiforou¹¹, V. Nikolaenko^{132,aj}, I. Nikolic-Audit⁸³, K. Nikolopoulos¹⁹, P. Nilsson²⁷, Y. Ninomiya⁶⁹, A. Nisati^{134a}, N. Nishu^{36c}, R. Nisius¹⁰³, I. Nitsche⁴⁶, T. Nitta¹⁷⁴, T. Nobe¹⁵⁷, Y. Noguchi⁷¹, M. Nomachi¹²⁰, I. Nomidis³¹, M.A. Nomura²⁷, T. Nooney⁷⁹, M. Nordberg³², N. Norjoharuddeen¹²², O. Novgorodova⁴⁷, M. Nozaki⁶⁹, L. Nozka¹¹⁷, K. Ntekas¹⁶⁶, E. Nurse⁸¹, F. Nuti⁹¹, K. O'connor²⁵, D.C. O'Neil¹⁴⁴, A.A. O'Rourke⁴⁵, V. O'Shea⁵⁶, F.G. Oakham^{31,d}, H. Oberlack¹⁰³, T. Obermann²³, J. Ocariz⁸³, A. Ochi⁷⁰, I. Ochoa³⁸, J.P. Ochoa-Ricoux^{34a}, S. Oda⁷³, S. Odaka⁶⁹, A. Oh⁸⁷, S.H. Oh⁴⁸, C.C. Ohm¹⁴⁹, H. Ohman¹⁶⁸, H. Oide^{53a,53b}, H. Okawa¹⁶⁴, Y. Okumura¹⁵⁷, T. Okuyama⁶⁹, A. Olariu^{28b}, L.F. Oleiro Seabra^{128a}, S.A. Olivares Pino^{34a}, D. Oliveira Damazio²⁷, M.J.R. Olsson³³, A. Olszewski⁴², J. Olszowska⁴², A. Onofre^{128a,128e}, K. Onogi¹⁰⁵, P.U.E. Onyisi^{11,aa}, H. Oppen¹²¹, M.J. Oreglia³³, Y. Oren¹⁵⁵, D. Orestano^{136a,136b}, N. Orlando^{62b}, R.S. Orr¹⁶¹, B. Osculati^{53a,53b,*}, R. Ospanov^{36a}, G. Otero y Garzon²⁹, H. Otono⁷³, M. Ouchrif^{137d}, F. Ould-Saada¹²¹, A. Ouraou¹³⁸, K.P. Oussoren¹⁰⁹, Q. Ouyang^{35a}, M. Owen⁵⁶, R.E. Owen¹⁹, V.E. Ozcan^{20a}, N. Ozturk⁸, K. Pachal¹⁴⁴, A. Pacheco Pages¹³, L. Pacheco Rodriguez¹³⁸, C. Padilla Aranda¹³, S. Pagan Griso¹⁶, M. Paganini¹⁷⁹, F. Paige²⁷, G. Palacino⁶⁴, S. Palazzo^{40a,40b}, S. Palestini³², M. Palka^{41b}, D. Pallin³⁷, E.St. Panagiotopoulou¹⁰, I. Panagoulas¹⁰, C.E. Pandini⁵², J.G. Panduro Vazquez⁸⁰, P. Pani³², S. Panitkin²⁷, D. Pantea^{28b}, L. Paolozzi⁵², Th.D. Papadopoulou¹⁰, K. Papageorgiou^{9,s}, A. Paramonov⁶, D. Paredes Hernandez¹⁷⁹, A.J. Parker⁷⁵, M.A. Parker³⁰, K.A. Parker⁴⁵, F. Parodi^{53a,53b}, J.A. Parsons³⁸, U. Parzefall⁵¹, V.R. Pascuzzi¹⁶¹, J.M. Pasner¹³⁹, E. Pasqualucci^{134a}, S. Passaggio^{53a}, Fr. Pastore⁸⁰, S. Patariaia⁸⁶, J.R. Pater⁸⁷, T. Pauly³², B. Pearson¹⁰³, S. Pedraza Lopez¹⁷⁰, R. Pedro^{128a,128b}, S.V. Peleganchuk^{111,c}, O. Penc¹²⁹, C. Peng^{35a}, H. Peng^{36a}, J. Penwell⁶⁴, B.S. Peralva^{26b}, M.M. Perego¹³⁸, D.V. Perepelitsa²⁷, F. Peri¹⁷, L. Perini^{94a,94b}, H. Pernegger³², S. Perrella^{106a,106b}, R. Peschke⁴⁵, V.D. Peshekhonov^{68,*}, K. Peters⁴⁵, R.F.Y. Peters⁸⁷, B.A. Petersen³², T.C. Petersen³⁹, E. Petit⁵⁸, A. Petridis¹, C. Petridou¹⁵⁶, P. Petroff¹¹⁹, E. Petrolo^{134a}, M. Petrov¹²², F. Petrucci^{136a,136b}, N.E. Pettersson⁸⁹, A. Peyaud¹³⁸, R. Pezoa^{34b}, F.H. Phillips⁹³, P.W. Phillips¹³³, G. Piacquadio¹⁵⁰, E. Pianori¹⁷³, A. Picazio⁸⁹, M.A. Pickering¹²², R. Piegaia²⁹, J.E. Pilcher³³, A.D. Pilkington⁸⁷, M. Pinamonti^{135a,135b}, J.L. Pinfold³, H. Pirumov⁴⁵, M. Pitt¹⁷⁵, L. Plazak^{146a}, M.-A. Pleier²⁷, V. Pleskot⁸⁶, E. Plotnikova⁶⁸, D. Pluth⁶⁷, P. Podberezko¹¹¹, R. Poettgen⁸⁴, R. Poggi^{123a,123b}, L. Poggioli¹¹⁹, I. Pogrebnyak⁹³, D. Pohl²³,

I. Pokharel⁵⁷, G. Polesello^{123a}, A. Poley⁴⁵, A. Policicchio^{40a,40b}, R. Polifka³², A. Polini^{22a}, C.S. Pollard⁵⁶, V. Polychronakos²⁷, K. Pommès³², D. Ponomarenko¹⁰⁰, L. Pontecorvo^{134a}, G.A. Popeneciu^{28d}, D.M. Portillo Quintero⁸³, S. Pospisil¹³⁰, K. Potamianos⁴⁵, I.N. Potrap⁶⁸, C.J. Potter³⁰, H. Potti¹¹, T. Poulsen⁸⁴, J. Poveda³², M.E. Pozo Astigarraga³², P. Pralavorio⁸⁸, A. Pranko¹⁶, S. Prell⁶⁷, D. Price⁸⁷, M. Primavera^{76a}, S. Prince⁹⁰, N. Proklova¹⁰⁰, K. Prokofiev^{62c}, F. Prokoshin^{34b}, S. Protopopescu²⁷, J. Proudfoot⁶, M. Przybycien^{41a}, A. Puri¹⁶⁹, P. Puzo¹¹⁹, J. Qian⁹², G. Qin⁵⁶, Y. Qin⁸⁷, A. Quadt⁵⁷, M. Queitsch-Maitland⁴⁵, D. Quilty⁵⁶, S. Raddum¹²¹, V. Radeka²⁷, V. Radescu¹²², S.K. Radhakrishnan¹⁵⁰, P. Radloff¹¹⁸, P. Rados⁹¹, F. Ragusa^{94a,94b}, G. Rahal¹⁸¹, J.A. Raine⁸⁷, S. Rajagopalan²⁷, C. Rangel-Smith¹⁶⁸, T. Rashid¹¹⁹, S. Raspopov⁵, M.G. Ratti^{94a,94b}, D.M. Rauch⁴⁵, F. Rauscher¹⁰², S. Rave⁸⁶, I. Ravinovich¹⁷⁵, J.H. Rawling⁸⁷, M. Raymond³², A.L. Read¹²¹, N.P. Readioff⁵⁸, M. Reale^{76a,76b}, D.M. Rebuzzi^{123a,123b}, A. Redelbach¹⁷⁷, G. Redlinger²⁷, R. Reece¹³⁹, R.G. Reed^{147c}, K. Reeves⁴⁴, L. Rehnisch¹⁷, J. Reichert¹²⁴, A. Reiss⁸⁶, C. Rembser³², H. Ren^{35a}, M. Rescigno^{134a}, S. Resconi^{94a}, E.D. Resseguie¹²⁴, S. Rettie¹⁷¹, E. Reynolds¹⁹, O.L. Rezanova^{111,c}, P. Reznicek¹³¹, R. Rezvani⁹⁷, R. Richter¹⁰³, S. Richter⁸¹, E. Richter-Was^{41b}, O. Ricken²³, M. Ridel⁸³, P. Rieck¹⁰³, C.J. Riegel¹⁷⁸, J. Rieger⁵⁷, O. Rifki¹¹⁵, M. Rijssenbeek¹⁵⁰, A. Rimoldi^{123a,123b}, M. Rimoldi¹⁸, L. Rinaldi^{22a}, G. Ripellino¹⁴⁹, B. Ristic³², E. Ritsch³², I. Riu¹³, F. Rizatdinova¹¹⁶, E. Rizvi⁷⁹, C. Rizzi¹³, R.T. Roberts⁸⁷, S.H. Robertson^{90,o}, A. Robichaud-Veronneau⁹⁰, D. Robinson³⁰, J.E.M. Robinson⁴⁵, A. Robson⁵⁶, E. Rocco⁸⁶, C. Roda^{126a,126b}, Y. Rodina^{88,al}, S. Rodriguez Bosca¹⁷⁰, A. Rodriguez Perez¹³, D. Rodriguez Rodriguez¹⁷⁰, S. Roe³², C.S. Rogan⁵⁹, O. Røhne¹²¹, J. Roloff⁵⁹, A. Romanouk¹⁰⁰, M. Romano^{22a,22b}, S.M. Romano Saez³⁷, E. Romero Adam¹⁷⁰, N. Rompotis⁷⁷, M. Ronzani⁵¹, L. Roos⁸³, S. Rosati^{134a}, K. Rosbach⁵¹, P. Rose¹³⁹, N.-A. Rosien⁵⁷, E. Rossi^{106a,106b}, L.P. Rossi^{53a}, J.H.N. Rosten³⁰, R. Rosten¹⁴⁰, M. Rotaru^{28b}, J. Rothberg¹⁴⁰, D. Rousseau¹¹⁹, A. Rozanov⁸⁸, Y. Rozen¹⁵⁴, X. Ruan^{147c}, F. Rubbo¹⁴⁵, E.M. Ruettinger⁴⁵, F. Rühr⁵¹, A. Ruiz-Martinez³¹, Z. Rurikova⁵¹, N.A. Rusakovich⁶⁸, H.L. Russell⁹⁰, J.P. Rutherford⁷, N. Ruthmann³², Y.F. Ryabov¹²⁵, M. Rybar¹⁶⁹, G. Rybkin¹¹⁹, S. Ryu⁶, A. Ryzhov¹³², G.F. Rzehorz⁵⁷, A.F. Saavedra¹⁵², G. Sabato¹⁰⁹, S. Sacerdoti²⁹, H.F.-W. Sadrozinski¹³⁹, R. Sadykov⁶⁸, F. Safai Tehrani^{134a}, P. Saha¹¹⁰, M. Sahinsoy^{60a}, M. Saimpert⁴⁵, M. Saito¹⁵⁷, T. Saito¹⁵⁷, H. Sakamoto¹⁵⁷, Y. Sakurai¹⁷⁴, G. Salamanna^{136a,136b}, J.E. Salazar Loyola^{34b}, D. Salek¹⁰⁹, P.H. Sales De Bruin¹⁶⁸, D. Salihagic¹⁰³, A. Salnikov¹⁴⁵, J. Salt¹⁷⁰, D. Salvatore^{40a,40b}, F. Salvatore¹⁵¹, A. Salvucci^{62a,62b,62c}, A. Salzburger³², D. Sammel⁵¹, D. Sampsonidis¹⁵⁶, D. Sampsonidou¹⁵⁶, J. Sánchez¹⁷⁰, V. Sanchez Martinez¹⁷⁰, A. Sanchez Pineda^{167a,167c}, H. Sandaker¹²¹, R.L. Sandbach⁷⁹, C.O. Sander⁴⁵, M. Sandhoff¹⁷⁸, C. Sandoval²¹, D.P.C. Sankey¹³³, M. Sannino^{53a,53b}, Y. Sano¹⁰⁵, A. Sansoni⁵⁰, C. Santoni³⁷, H. Santos^{128a}, I. Santoyo Castillo¹⁵¹, A. Saprionov⁶⁸, J.G. Saraiva^{128a,128d}, B. Sarrazin²³, O. Sasaki⁶⁹, K. Sato¹⁶⁴, E. Sauvan⁵, G. Savage⁸⁰, P. Savard^{161,d}, N. Savic¹⁰³, C. Sawyer¹³³, L. Sawyer^{82,u}, J. Saxon³³, C. Sbarra^{22a}, A. Sbrizzi^{22a,22b}, T. Scanlon⁸¹, D.A. Scannicchio¹⁶⁶, J. Schaarschmidt¹⁴⁰, P. Schacht¹⁰³, B.M. Schachtner¹⁰², D. Schaefer³³, L. Schaefer¹²⁴, R. Schaefer⁴⁵, J. Schaeffer⁸⁶, S. Schaepe³², S. Schaetzel^{60b}, U. Schäfer⁸⁶, A.C. Schaffer¹¹⁹, D. Schaile¹⁰², R.D. Schamberger¹⁵⁰, V.A. Schegelsky¹²⁵, D. Scheirich¹³¹, M. Schernau¹⁶⁶, C. Schiavi^{53a,53b}, S. Schier¹³⁹, L.K. Schildgen²³, C. Schillo⁵¹, M. Schioppa^{40a,40b}, S. Schlenker³², K.R. Schmidt-Sommerfeld¹⁰³, K. Schmieden³², C. Schmitt⁸⁶, S. Schmitt⁴⁵, S. Schmitz⁸⁶, U. Schnoor⁵¹, L. Schoeffel¹³⁸, A. Schoening^{60b}, B.D. Schoenrock⁹³, E. Schopf²³, M. Schott⁸⁶, J.F.P. Schouwenberg¹⁰⁸, J. Schovancova³², S. Schramm⁵², N. Schuh⁸⁶, A. Schulte⁸⁶, M.J. Schultens²³, H.-C. Schultz-Coulon^{60a}, H. Schulz¹⁷, M. Schumacher⁵¹, B.A. Schumm¹³⁹, Ph. Schune¹³⁸, A. Schwartzman¹⁴⁵, T.A. Schwarz⁹², H. Schweiger⁸⁷, Ph. Schwemling¹³⁸, R. Schwienhorst⁹³, J. Schwindling¹³⁸, A. Sciandra²³, G. Sciolla²⁵, M. Scornajenghi^{40a,40b}, F. Scuri^{126a,126b}, F. Scutti⁹¹, J. Searcy⁹², P. Seema²³, S.C. Seidel¹⁰⁷, A. Seiden¹³⁹, J.M. Seixas^{26a}, G. Sekhniaidze^{106a}, K. Sekhon⁹², S.J. Sekula⁴³, N. Semprini-Cesari^{22a,22b}, S. Senkin³⁷, C. Serfon¹²¹,

L. Serin¹¹⁹, L. Serkin^{167a,167b}, M. Sessa^{136a,136b}, R. Seuster¹⁷², H. Severini¹¹⁵, T. Sfiligoi⁷⁸, F. Sforza¹⁶⁵, A. Sfyrila⁵², E. Shabalina⁵⁷, N.W. Shaikh^{148a,148b}, L.Y. Shan^{35a}, R. Shang¹⁶⁹, J.T. Shank²⁴, M. Shapiro¹⁶, P.B. Shatalov⁹⁹, K. Shaw^{167a,167b}, S.M. Shaw⁸⁷, A. Shcherbakova^{148a,148b}, C.Y. Shehu¹⁵¹, Y. Shen¹¹⁵, N. Sherafati³¹, P. Sherwood⁸¹, L. Shi^{153,am}, S. Shimizu⁷⁰, C.O. Shimmin¹⁷⁹, M. Shimojima¹⁰⁴, I.P.J. Shipsey¹²², S. Shirabe⁷³, M. Shiyakova^{68,an}, J. Shlomi¹⁷⁵, A. Shmeleva⁹⁸, D. Shoaleh Saadi⁹⁷, M.J. Shochet³³, S. Shojaii^{94a,94b}, D.R. Shope¹¹⁵, S. Shrestha¹¹³, E. Shulga¹⁰⁰, M.A. Shupe⁷, P. Sicho¹²⁹, A.M. Sickles¹⁶⁹, P.E. Sidebo¹⁴⁹, E. Sideras Haddad^{147c}, O. Sidiropoulou¹⁷⁷, A. Sidoti^{22a,22b}, F. Siegert⁴⁷, Dj. Sijacki¹⁴, J. Silva^{128a,128d}, S.B. Silverstein^{148a}, V. Simak¹³⁰, L. Simic⁶⁸, S. Simion¹¹⁹, E. Simioni⁸⁶, B. Simmons⁸¹, M. Simon⁸⁶, P. Sinervo¹⁶¹, N.B. Sinev¹¹⁸, M. Sioli^{22a,22b}, G. Siragusa¹⁷⁷, I. Siral⁹², S.Yu. Sivoklov¹⁰¹, J. Sjölin^{148a,148b}, M.B. Skinner⁷⁵, P. Skubic¹¹⁵, M. Slater¹⁹, T. Slavicek¹³⁰, M. Slawinska⁴², K. Sliwa¹⁶⁵, R. Slovak¹³¹, V. Smakhtin¹⁷⁵, B.H. Smart⁵, J. Smiesko^{146a}, N. Smirnov¹⁰⁰, S.Yu. Smirnov¹⁰⁰, Y. Smirnov¹⁰⁰, L.N. Smirnova^{101,ao}, O. Smirnova⁸⁴, J.W. Smith⁵⁷, M.N.K. Smith³⁸, R.W. Smith³⁸, M. Smizanska⁷⁵, K. Smolek¹³⁰, A.A. Snesarev⁹⁸, I.M. Snyder¹¹⁸, S. Snyder²⁷, R. Sobie^{172,o}, F. Socher⁴⁷, A. Soffer¹⁵⁵, A. Søggaard⁴⁹, D.A. Soh¹⁵³, G. Sokhrannyi⁷⁸, C.A. Solans Sanchez³², M. Solar¹³⁰, E.Yu. Soldatov¹⁰⁰, U. Soldevila¹⁷⁰, A.A. Solodkov¹³², A. Soloshenko⁶⁸, O.V. Solovyanov¹³², V. Solovyev¹²⁵, P. Sommer¹⁴¹, H. Son¹⁶⁵, A. Sopczak¹³⁰, D. Sosa^{60b}, C.L. Sotiropoulou^{126a,126b}, S. Sottocornola^{123a,123b}, R. Soualah^{167a,167c}, A.M. Soukharev^{111,c}, D. South⁴⁵, B.C. Sowden⁸⁰, S. Spagnolo^{76a,76b}, M. Spalla^{126a,126b}, M. Spangenberg¹⁷³, F. Spanò⁸⁰, D. Sperlich¹⁷, F. Spettel¹⁰³, T.M. Spieker^{60a}, R. Spighi^{22a}, G. Spigo³², L.A. Spiller⁹¹, M. Spousta¹³¹, R.D. St. Denis^{56,*}, A. Stabile^{94a}, R. Stamen^{60a}, S. Stamm¹⁷, E. Stanecka⁴², R.W. Stanek⁶, C. Stanescu^{136a}, M.M. Stanitzki⁴⁵, B.S. Stapf¹⁰⁹, S. Stapnes¹²¹, E.A. Starchenko¹³², G.H. Stark³³, J. Stark⁵⁸, S.H. Stark³⁹, P. Staroba¹²⁹, P. Starovoitov^{60a}, S. Stärz³², R. Staszewski⁴², M. Stegler⁴⁵, P. Steinberg²⁷, B. Stelzer¹⁴⁴, H.J. Stelzer³², O. Stelzer-Chilton^{163a}, H. Stenzel⁵⁵, T.J. Stevenson⁷⁹, G.A. Stewart⁵⁶, M.C. Stockton¹¹⁸, M. Stoebe⁹⁰, G. Stoicea^{28b}, P. Stolte⁵⁷, S. Stonjek¹⁰³, A.R. Stradling⁸, A. Straessner⁴⁷, M.E. Stramaglia¹⁸, J. Strandberg¹⁴⁹, S. Strandberg^{148a,148b}, M. Strauss¹¹⁵, P. Strizenec^{146b}, R. Ströhmer¹⁷⁷, D.M. Strom¹¹⁸, R. Stroynowski⁴³, A. Strubig⁴⁹, S.A. Stucci²⁷, B. Stugu¹⁵, N.A. Styles⁴⁵, D. Su¹⁴⁵, J. Su¹²⁷, S. Suchek^{60a}, Y. Sugaya¹²⁰, M. Suk¹³⁰, V.V. Sulin⁹⁸, DMS Sultan^{162a,162b}, S. Sultansoy^{4c}, T. Sumida⁷¹, S. Sun⁵⁹, X. Sun³, K. Suruliz¹⁵¹, C.J.E. Suster¹⁵², M.R. Sutton¹⁵¹, S. Suzuki⁶⁹, M. Svatos¹²⁹, M. Swiatlowski³³, S.P. Swift², I. Sykora^{146a}, T. Sykora¹³¹, D. Ta⁵¹, K. Tackmann⁴⁵, J. Taenzer¹⁵⁵, A. Taffard¹⁶⁶, R. Tafirout^{163a}, E. Tahirovic⁷⁹, N. Taiblum¹⁵⁵, H. Takai²⁷, R. Takashima⁷², E.H. Takasugi¹⁰³, K. Takeda⁷⁰, T. Takeshita¹⁴², Y. Takubo⁶⁹, M. Talby⁸⁸, A.A. Talyshev^{111,c}, J. Tanaka¹⁵⁷, M. Tanaka¹⁵⁹, R. Tanaka¹¹⁹, S. Tanaka⁶⁹, R. Tanioka⁷⁰, B.B. Tannenwald¹¹³, S. Tapia Araya^{34b}, S. Tapprogge⁸⁶, S. Tarem¹⁵⁴, G.F. Tartarelli^{94a}, P. Tas¹³¹, M. Tasevsky¹²⁹, T. Tashiro⁷¹, E. Tassi^{40a,40b}, A. Tavares Delgado^{128a,128b}, Y. Tayalati^{137e}, A.C. Taylor¹⁰⁷, A.J. Taylor⁴⁹, G.N. Taylor⁹¹, P.T.E. Taylor⁹¹, W. Taylor^{163b}, P. Teixeira-Dias⁸⁰, D. Temple¹⁴⁴, H. Ten Kate³², P.K. Teng¹⁵³, J.J. Teoh¹²⁰, F. Tepel¹⁷⁸, S. Terada⁶⁹, K. Terashi¹⁵⁷, J. Terron⁸⁵, S. Terzo¹³, M. Testa⁵⁰, R.J. Teuscher^{161,o}, S.J. Thais¹⁷⁹, T. Theveneaux-Pelzer⁸⁸, F. Thiele³⁹, J.P. Thomas¹⁹, J. Thomas-Wilsker⁸⁰, P.D. Thompson¹⁹, A.S. Thompson⁵⁶, L.A. Thomsen¹⁷⁹, E. Thomson¹²⁴, Y. Tian³⁸, M.J. Tibbetts¹⁶, R.E. Ticse Torres⁵⁷, V.O. Tikhomirov^{98,ap}, Yu.A. Tikhonov^{111,c}, S. Timoshenko¹⁰⁰, P. Tipton¹⁷⁹, S. Tisserant⁸⁸, K. Todome¹⁵⁹, S. Todorova-Nova⁵, S. Todt⁴⁷, J. Tojo⁷³, S. Tokár^{146a}, K. Tokushuku⁶⁹, E. Tolley¹¹³, L. Tomlinson⁸⁷, M. Tomoto¹⁰⁵, L. Tompkins^{145,aq}, K. Toms¹⁰⁷, B. Tong⁵⁹, P. Tornambe⁵¹, E. Torrence¹¹⁸, H. Torres⁴⁷, E. Torró Pastor¹⁴⁰, J. Toth^{88,ar}, F. Touchard⁸⁸, D.R. Tovey¹⁴¹, C.J. Treado¹¹², T. Trefzger¹⁷⁷, F. Tresoldi¹⁵¹, A. Tricoli²⁷, I.M. Trigger^{163a}, S. Trincaz-Duvoid⁸³, M.F. Tripiana¹³, W. Trischuk¹⁶¹, B. Trocme⁵⁸, A. Trofymov⁴⁵, C. Troncon^{94a}, M. Trottier-McDonald¹⁶, M. Trovatelli¹⁷², L. Truong^{147b}, M. Trzebinski⁴², A. Trzupek⁴², K.W. Tsang^{62a}, J.C.-L. Tseng¹²², P.V. Tsireshka⁹⁵, N. Tsirintanis⁹, S. Tsiskaridze¹³, V. Tsiskaridze⁵¹, E.G. Tskhadadze^{54a}, I.I. Tsukerman⁹⁹, V. Tsulaia¹⁶, S. Tsuno⁶⁹, D. Tsybychev¹⁵⁰, Y. Tu^{62b},

A. Tudorache^{28b}, V. Tudorache^{28b}, T.T. Tulbure^{28a}, A.N. Tuna⁵⁹, S. Turchikhin⁶⁸, D. Turgeman¹⁷⁵,
 I. Turk Cakir^{4b,as}, R. Turra^{94a}, P.M. Tuts³⁸, G. Uccchielli^{22a,22b}, I. Ueda⁶⁹, M. Ughetto^{148a,148b},
 F. Ukegawa¹⁶⁴, G. Unal³², A. Undrus²⁷, G. Unel¹⁶⁶, F.C. Ungaro⁹¹, Y. Unno⁶⁹, K. Uno¹⁵⁷,
 C. Unverdorben¹⁰², J. Urban^{146b}, P. Urquijo⁹¹, P. Urrejola⁸⁶, G. Usai⁸, J. Usui⁶⁹, L. Vacavant⁸⁸,
 V. Vacek¹³⁰, B. Vachon⁹⁰, K.O.H. Vadla¹²¹, A. Vaidya⁸¹, C. Valderanis¹⁰², E. Valdes Santurio^{148a,148b},
 M. Valente⁵², S. Valentinetti^{22a,22b}, A. Valero¹⁷⁰, L. Valéry¹³, S. Valkar¹³¹, A. Vallier⁵,
 J.A. Valls Ferrer¹⁷⁰, W. Van Den Wollenberg¹⁰⁹, H. van der Graaf¹⁰⁹, P. van Gemmeren⁶,
 J. Van Nieuwkoop¹⁴⁴, I. van Vulpen¹⁰⁹, M.C. van Woerden¹⁰⁹, M. Vanadia^{135a,135b}, W. Vandelli³²,
 A. Vaniachine¹⁶⁰, P. Vankov¹⁰⁹, G. Vardanyan¹⁸⁰, R. Vari^{134a}, E.W. Varnes⁷, C. Varni^{53a,53b}, T. Varol⁴³,
 D. Varouchas¹¹⁹, A. Vartapetian⁸, K.E. Varvell¹⁵², J.G. Vasquez¹⁷⁹, G.A. Vasquez^{34b}, F. Vazeille³⁷,
 D. Vazquez Furelos¹³, T. Vazquez Schroeder⁹⁰, J. Veatch⁵⁷, V. Veeraraghavan⁷, L.M. Veloce¹⁶¹,
 F. Veloso^{128a,128c}, S. Veneziano^{134a}, A. Ventura^{76a,76b}, M. Venturi¹⁷², N. Venturi³², A. Venturini²⁵,
 V. Vercesi^{123a}, M. Verducci^{136a,136b}, W. Verkerke¹⁰⁹, A.T. Vermeulen¹⁰⁹, J.C. Vermeulen¹⁰⁹,
 M.C. Vetterli^{144,d}, N. Viaux Maira^{34b}, O. Viazlo⁸⁴, I. Vichou^{169,*}, T. Vickey¹⁴¹, O.E. Vickey Boeriu¹⁴¹,
 G.H.A. Viehhauser¹²², S. Viel¹⁶, L. Viganì¹²², M. Villa^{22a,22b}, M. Villaplana Perez^{94a,94b}, E. Vilucchi⁵⁰,
 M.G. Vinciter³¹, V.B. Vinogradov⁶⁸, A. Vishwakarma⁴⁵, C. Vittori^{22a,22b}, I. Vivarelli¹⁵¹, S. Vlachos¹⁰,
 M. Vogel¹⁷⁸, P. Vokac¹³⁰, G. Volpi¹³, H. von der Schmitt¹⁰³, E. von Toerne²³, V. Vorobel¹³¹,
 K. Vorobev¹⁰⁰, M. Vos¹⁷⁰, R. Voss³², J.H. Vosseveld⁷⁷, N. Vranjes¹⁴, M. Vranjes Milosavljevic¹⁴,
 V. Vrba¹³⁰, M. Vreeswijk¹⁰⁹, R. Vuillermet³², I. Vukotic³³, P. Wagner²³, W. Wagner¹⁷⁸,
 J. Wagner-Kuhr¹⁰², H. Wahlberg⁷⁴, S. Währmund⁴⁷, J. Walder⁷⁵, R. Walker¹⁰², W. Walkowiak¹⁴³,
 V. Wallangen^{148a,148b}, C. Wang^{35b}, C. Wang^{36b,at}, F. Wang¹⁷⁶, H. Wang¹⁶, H. Wang³, J. Wang⁴⁵,
 J. Wang¹⁵², Q. Wang¹¹⁵, R.-J. Wang⁸³, R. Wang⁶, S.M. Wang¹⁵³, T. Wang³⁸, W. Wang^{153,au},
 W. Wang^{36a,av}, Z. Wang^{36c}, C. Wanotayaroj⁴⁵, A. Warburton⁹⁰, C.P. Ward³⁰, D.R. Wardrope⁸¹,
 A. Washbrook⁴⁹, P.M. Watkins¹⁹, A.T. Watson¹⁹, M.F. Watson¹⁹, G. Watts¹⁴⁰, S. Watts⁸⁷,
 B.M. Waugh⁸¹, A.F. Webb¹¹, S. Webb⁸⁶, M.S. Weber¹⁸, S.M. Weber^{60a}, S.W. Weber¹⁷⁷, S.A. Weber³¹,
 J.S. Webster⁶, A.R. Weidberg¹²², B. Weinert⁶⁴, J. Weingarten⁵⁷, M. Weirich⁸⁶, C. Weiser⁵¹, H. Weits¹⁰⁹,
 P.S. Wells³², T. Wenaus²⁷, T. Wengler³², S. Wenig³², N. Wermes²³, M.D. Werner⁶⁷, P. Werner³²,
 M. Wessels^{60a}, T.D. Weston¹⁸, K. Whalen¹¹⁸, N.L. Whallon¹⁴⁰, A.M. Wharton⁷⁵, A.S. White⁹²,
 A. White⁸, M.J. White¹, R. White^{34b}, D. Whiteson¹⁶⁶, B.W. Whitmore⁷⁵, F.J. Wickens¹³³,
 W. Wiedenmann¹⁷⁶, M. Wielers¹³³, C. Wiglesworth³⁹, L.A.M. Wiik-Fuchs⁵¹, A. Wildauer¹⁰³, F. Wilk⁸⁷,
 H.G. Wilkens³², H.H. Williams¹²⁴, S. Williams¹⁰⁹, C. Willis⁹³, S. Willocq⁸⁹, J.A. Wilson¹⁹,
 I. Wingerter-Seez⁵, E. Winkels¹⁵¹, F. Winklmeier¹¹⁸, O.J. Winston¹⁵¹, B.T. Winter²³, M. Wittgen¹⁴⁵,
 M. Wobisch^{82,u}, A. Wolf⁸⁶, T.M.H. Wolf¹⁰⁹, R. Wolff⁸⁸, M.W. Wolter⁴², H. Wolters^{128a,128c},
 V.W.S. Wong¹⁷¹, N.L. Woods¹³⁹, S.D. Worm¹⁹, B.K. Wosiek⁴², J. Wotschack³², K.W. Wozniak⁴²,
 M. Wu³³, S.L. Wu¹⁷⁶, X. Wu⁵², Y. Wu⁹², T.R. Wyatt⁸⁷, B.M. Wynne⁴⁹, S. Xella³⁹, Z. Xi⁹², L. Xia^{35c},
 D. Xu^{35a}, L. Xu²⁷, T. Xu¹³⁸, W. Xu⁹², B. Yabsley¹⁵², S. Yacoub^{147a}, D. Yamaguchi¹⁵⁹, Y. Yamaguchi¹⁵⁹,
 A. Yamamoto⁶⁹, S. Yamamoto¹⁵⁷, T. Yamanaka¹⁵⁷, F. Yamane⁷⁰, M. Yamatani¹⁵⁷, T. Yamazaki¹⁵⁷,
 Y. Yamazaki⁷⁰, Z. Yan²⁴, H. Yang^{36c}, H. Yang¹⁶, Y. Yang¹⁵³, Z. Yang¹⁵, W.-M. Yao¹⁶, Y.C. Yap⁴⁵,
 Y. Yasu⁶⁹, E. Yatsenko⁵, K.H. Yau Wong²³, J. Ye⁴³, S. Ye²⁷, I. Yeletsikh⁶⁸, E. Yigitbasi²⁴,
 E. Yildirim⁸⁶, K. Yorita¹⁷⁴, K. Yoshihara¹²⁴, C. Young¹⁴⁵, C.J.S. Young³², J. Yu⁸, J. Yu⁶⁷, S.P.Y. Yuen²³,
 I. Yusuff^{30,aw}, B. Zabinski⁴², G. Zacharis¹⁰, R. Zaidan¹³, A.M. Zaitsev^{132,aj}, N. Zakharchuk⁴⁵,
 J. Zalieckas¹⁵, A. Zaman¹⁵⁰, S. Zambito⁵⁹, D. Zanzi⁹¹, C. Zeitnitz¹⁷⁸, G. Zemaityte¹²², A. Zemla^{41a},
 J.C. Zeng¹⁶⁹, Q. Zeng¹⁴⁵, O. Zenin¹³², T. Ženiš^{146a}, D. Zerwas¹¹⁹, D. Zhang^{36b}, D. Zhang⁹²,
 F. Zhang¹⁷⁶, G. Zhang^{36a,av}, H. Zhang¹¹⁹, J. Zhang⁶, L. Zhang⁵¹, L. Zhang^{36a}, M. Zhang¹⁶⁹, P. Zhang^{35b},
 R. Zhang²³, R. Zhang^{36a,at}, X. Zhang^{36b}, Y. Zhang^{35a}, Z. Zhang¹¹⁹, X. Zhao⁴³, Y. Zhao^{36b,ax}, Z. Zhao^{36a},
 A. Zhemchugov⁶⁸, B. Zhou⁹², C. Zhou¹⁷⁶, L. Zhou⁴³, M. Zhou^{35a}, M. Zhou¹⁵⁰, N. Zhou^{36c}, Y. Zhou⁷,
 C.G. Zhu^{36b}, H. Zhu^{35a}, J. Zhu⁹², Y. Zhu^{36a}, X. Zhuang^{35a}, K. Zhukov⁹⁸, A. Zibell¹⁷⁷, D. Zieminska⁶⁴,

N.I. Zimine⁶⁸, C. Zimmermann⁸⁶, S. Zimmermann⁵¹, Z. Zinonos¹⁰³, M. Zinser⁸⁶, M. Ziolkowski¹⁴³, L. Živković¹⁴, G. Zobernig¹⁷⁶, A. Zoccoli^{22a,22b}, R. Zou³³, M. zur Nedden¹⁷, L. Zwalinski³².

¹ Department of Physics, University of Adelaide, Adelaide, Australia

² Physics Department, SUNY Albany, Albany NY, United States of America

³ Department of Physics, University of Alberta, Edmonton AB, Canada

⁴ ^(a) Department of Physics, Ankara University, Ankara; ^(b) Istanbul Aydin University, Istanbul; ^(c) Division of Physics, TOBB University of Economics and Technology, Ankara, Turkey

⁵ LAPP, CNRS/IN2P3 and Université Savoie Mont Blanc, Annecy-le-Vieux, France

⁶ High Energy Physics Division, Argonne National Laboratory, Argonne IL, United States of America

⁷ Department of Physics, University of Arizona, Tucson AZ, United States of America

⁸ Department of Physics, The University of Texas at Arlington, Arlington TX, United States of America

⁹ Physics Department, National and Kapodistrian University of Athens, Athens, Greece

¹⁰ Physics Department, National Technical University of Athens, Zografou, Greece

¹¹ Department of Physics, The University of Texas at Austin, Austin TX, United States of America

¹² Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan

¹³ Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Barcelona, Spain

¹⁴ Institute of Physics, University of Belgrade, Belgrade, Serbia

¹⁵ Department for Physics and Technology, University of Bergen, Bergen, Norway

¹⁶ Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley CA, United States of America

¹⁷ Department of Physics, Humboldt University, Berlin, Germany

¹⁸ Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland

¹⁹ School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom

²⁰ ^(a) Department of Physics, Bogazici University, Istanbul; ^(b) Department of Physics Engineering, Gaziantep University, Gaziantep; ^(d) Istanbul Bilgi University, Faculty of Engineering and Natural Sciences, Istanbul; ^(e) Bahcesehir University, Faculty of Engineering and Natural Sciences, Istanbul, Turkey

²¹ Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia

²² ^(a) INFN Sezione di Bologna; ^(b) Dipartimento di Fisica e Astronomia, Università di Bologna, Bologna, Italy

²³ Physikalisches Institut, University of Bonn, Bonn, Germany

²⁴ Department of Physics, Boston University, Boston MA, United States of America

²⁵ Department of Physics, Brandeis University, Waltham MA, United States of America

²⁶ ^(a) Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro; ^(b) Electrical Circuits Department, Federal University of Juiz de Fora (UFJF), Juiz de Fora; ^(c) Federal University of Sao Joao del Rei (UFSJ), Sao Joao del Rei; ^(d) Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil

²⁷ Physics Department, Brookhaven National Laboratory, Upton NY, United States of America

²⁸ ^(a) Transilvania University of Brasov, Brasov; ^(b) Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest; ^(c) Department of Physics, Alexandru Ioan Cuza University of Iasi, Iasi; ^(d) National Institute for Research and Development of Isotopic and Molecular Technologies, Physics Department, Cluj Napoca; ^(e) University Politehnica Bucharest, Bucharest; ^(f) West University in Timisoara, Timisoara, Romania

²⁹ Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina

³⁰ Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom

- ³¹ Department of Physics, Carleton University, Ottawa ON, Canada
- ³² CERN, Geneva, Switzerland
- ³³ Enrico Fermi Institute, University of Chicago, Chicago IL, United States of America
- ³⁴ ^(a) Departamento de Física, Pontificia Universidad Católica de Chile, Santiago; ^(b) Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile
- ³⁵ ^(a) Institute of High Energy Physics, Chinese Academy of Sciences, Beijing; ^(b) Department of Physics, Nanjing University, Jiangsu; ^(c) Physics Department, Tsinghua University, Beijing 100084, China
- ³⁶ ^(a) Department of Modern Physics and State Key Laboratory of Particle Detection and Electronics, University of Science and Technology of China, Anhui; ^(b) School of Physics, Shandong University, Shandong; ^(c) Department of Physics and Astronomy, Key Laboratory for Particle Physics, Astrophysics and Cosmology, Ministry of Education; Shanghai Key Laboratory for Particle Physics and Cosmology, Shanghai Jiao Tong University, Shanghai(also at PKU-CHEP), China
- ³⁷ Université Clermont Auvergne, CNRS/IN2P3, LPC, Clermont-Ferrand, France
- ³⁸ Nevis Laboratory, Columbia University, Irvington NY, United States of America
- ³⁹ Niels Bohr Institute, University of Copenhagen, Kobenhavn, Denmark
- ⁴⁰ ^(a) INFN Gruppo Collegato di Cosenza, Laboratori Nazionali di Frascati; ^(b) Dipartimento di Fisica, Università della Calabria, Rende, Italy
- ⁴¹ ^(a) AGH University of Science and Technology, Faculty of Physics and Applied Computer Science, Krakow; ^(b) Marian Smoluchowski Institute of Physics, Jagiellonian University, Krakow, Poland
- ⁴² Institute of Nuclear Physics Polish Academy of Sciences, Krakow, Poland
- ⁴³ Physics Department, Southern Methodist University, Dallas TX, United States of America
- ⁴⁴ Physics Department, University of Texas at Dallas, Richardson TX, United States of America
- ⁴⁵ DESY, Hamburg and Zeuthen, Germany
- ⁴⁶ Lehrstuhl für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
- ⁴⁷ Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden, Germany
- ⁴⁸ Department of Physics, Duke University, Durham NC, United States of America
- ⁴⁹ SUPA - School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
- ⁵⁰ INFN e Laboratori Nazionali di Frascati, Frascati, Italy
- ⁵¹ Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg, Germany
- ⁵² Departement de Physique Nucleaire et Corpusculaire, Université de Genève, Geneva, Switzerland
- ⁵³ ^(a) INFN Sezione di Genova; ^(b) Dipartimento di Fisica, Università di Genova, Genova, Italy
- ⁵⁴ ^(a) E. Andronikashvili Institute of Physics, Iv. Javakhishvili Tbilisi State University, Tbilisi; ^(b) High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
- ⁵⁵ II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
- ⁵⁶ SUPA - School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
- ⁵⁷ II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
- ⁵⁸ Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS/IN2P3, Grenoble, France
- ⁵⁹ Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA, United States of America
- ⁶⁰ ^(a) Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg; ^(b) Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
- ⁶¹ Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan
- ⁶² ^(a) Department of Physics, The Chinese University of Hong Kong, Shatin, N.T., Hong Kong; ^(b) Department of Physics, The University of Hong Kong, Hong Kong; ^(c) Department of Physics and Institute for Advanced Study, The Hong Kong University of Science and Technology, Clear Water Bay,

Kowloon, Hong Kong, China

⁶³ Department of Physics, National Tsing Hua University, Taiwan, Taiwan

⁶⁴ Department of Physics, Indiana University, Bloomington IN, United States of America

⁶⁵ Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria

⁶⁶ University of Iowa, Iowa City IA, United States of America

⁶⁷ Department of Physics and Astronomy, Iowa State University, Ames IA, United States of America

⁶⁸ Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia

⁶⁹ KEK, High Energy Accelerator Research Organization, Tsukuba, Japan

⁷⁰ Graduate School of Science, Kobe University, Kobe, Japan

⁷¹ Faculty of Science, Kyoto University, Kyoto, Japan

⁷² Kyoto University of Education, Kyoto, Japan

⁷³ Research Center for Advanced Particle Physics and Department of Physics, Kyushu University, Fukuoka, Japan

⁷⁴ Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina

⁷⁵ Physics Department, Lancaster University, Lancaster, United Kingdom

⁷⁶ ^(a) INFN Sezione di Lecce; ^(b) Dipartimento di Matematica e Fisica, Università del Salento, Lecce, Italy

⁷⁷ Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom

⁷⁸ Department of Experimental Particle Physics, Jožef Stefan Institute and Department of Physics, University of Ljubljana, Ljubljana, Slovenia

⁷⁹ School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

⁸⁰ Department of Physics, Royal Holloway University of London, Surrey, United Kingdom

⁸¹ Department of Physics and Astronomy, University College London, London, United Kingdom

⁸² Louisiana Tech University, Ruston LA, United States of America

⁸³ Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France

⁸⁴ Fysiska institutionen, Lunds universitet, Lund, Sweden

⁸⁵ Departamento de Física Teórica C-15, Universidad Autónoma de Madrid, Madrid, Spain

⁸⁶ Institut für Physik, Universität Mainz, Mainz, Germany

⁸⁷ School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom

⁸⁸ CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France

⁸⁹ Department of Physics, University of Massachusetts, Amherst MA, United States of America

⁹⁰ Department of Physics, McGill University, Montreal QC, Canada

⁹¹ School of Physics, University of Melbourne, Victoria, Australia

⁹² Department of Physics, The University of Michigan, Ann Arbor MI, United States of America

⁹³ Department of Physics and Astronomy, Michigan State University, East Lansing MI, United States of America

⁹⁴ ^(a) INFN Sezione di Milano; ^(b) Dipartimento di Fisica, Università di Milano, Milano, Italy

⁹⁵ B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of Belarus

⁹⁶ Research Institute for Nuclear Problems of Byelorussian State University, Minsk, Republic of Belarus

⁹⁷ Group of Particle Physics, University of Montreal, Montreal QC, Canada

⁹⁸ P.N. Lebedev Physical Institute of the Russian Academy of Sciences, Moscow, Russia

⁹⁹ Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia

¹⁰⁰ National Research Nuclear University MEPhI, Moscow, Russia

¹⁰¹ D.V. Skobeltsyn Institute of Nuclear Physics, M.V. Lomonosov Moscow State University, Moscow, Russia

- ¹⁰² Fakultät für Physik, Ludwig-Maximilians-Universität München, München, Germany
- ¹⁰³ Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), München, Germany
- ¹⁰⁴ Nagasaki Institute of Applied Science, Nagasaki, Japan
- ¹⁰⁵ Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya, Japan
- ¹⁰⁶ ^(a) INFN Sezione di Napoli; ^(b) Dipartimento di Fisica, Università di Napoli, Napoli, Italy
- ¹⁰⁷ Department of Physics and Astronomy, University of New Mexico, Albuquerque NM, United States of America
- ¹⁰⁸ Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands
- ¹⁰⁹ Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam, Netherlands
- ¹¹⁰ Department of Physics, Northern Illinois University, DeKalb IL, United States of America
- ¹¹¹ Budker Institute of Nuclear Physics, SB RAS, Novosibirsk, Russia
- ¹¹² Department of Physics, New York University, New York NY, United States of America
- ¹¹³ Ohio State University, Columbus OH, United States of America
- ¹¹⁴ Faculty of Science, Okayama University, Okayama, Japan
- ¹¹⁵ Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman OK, United States of America
- ¹¹⁶ Department of Physics, Oklahoma State University, Stillwater OK, United States of America
- ¹¹⁷ Palacký University, RCPTM, Olomouc, Czech Republic
- ¹¹⁸ Center for High Energy Physics, University of Oregon, Eugene OR, United States of America
- ¹¹⁹ LAL, Univ. Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France
- ¹²⁰ Graduate School of Science, Osaka University, Osaka, Japan
- ¹²¹ Department of Physics, University of Oslo, Oslo, Norway
- ¹²² Department of Physics, Oxford University, Oxford, United Kingdom
- ¹²³ ^(a) INFN Sezione di Pavia; ^(b) Dipartimento di Fisica, Università di Pavia, Pavia, Italy
- ¹²⁴ Department of Physics, University of Pennsylvania, Philadelphia PA, United States of America
- ¹²⁵ National Research Centre "Kurchatov Institute" B.P.Konstantinov Petersburg Nuclear Physics Institute, St. Petersburg, Russia
- ¹²⁶ ^(a) INFN Sezione di Pisa; ^(b) Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy
- ¹²⁷ Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh PA, United States of America
- ¹²⁸ ^(a) Laboratório de Instrumentação e Física Experimental de Partículas - LIP, Lisboa; ^(b) Faculdade de Ciências, Universidade de Lisboa, Lisboa; ^(c) Department of Physics, University of Coimbra, Coimbra; ^(d) Centro de Física Nuclear da Universidade de Lisboa, Lisboa; ^(e) Departamento de Física, Universidade do Minho, Braga; ^(f) Departamento de Física Teórica y del Cosmos, Universidad de Granada, Granada; ^(g) Dep Física and CEFITEC of Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica, Portugal
- ¹²⁹ Institute of Physics, Academy of Sciences of the Czech Republic, Praha, Czech Republic
- ¹³⁰ Czech Technical University in Prague, Praha, Czech Republic
- ¹³¹ Charles University, Faculty of Mathematics and Physics, Prague, Czech Republic
- ¹³² State Research Center Institute for High Energy Physics (Protvino), NRC KI, Russia
- ¹³³ Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom
- ¹³⁴ ^(a) INFN Sezione di Roma; ^(b) Dipartimento di Fisica, Sapienza Università di Roma, Roma, Italy
- ¹³⁵ ^(a) INFN Sezione di Roma Tor Vergata; ^(b) Dipartimento di Fisica, Università di Roma Tor Vergata, Roma, Italy
- ¹³⁶ ^(a) INFN Sezione di Roma Tre; ^(b) Dipartimento di Matematica e Fisica, Università Roma Tre, Roma,

Italy

¹³⁷ ^(a) Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies - Université Hassan II, Casablanca; ^(b) Centre National de l'Energie des Sciences Techniques Nucleaires, Rabat; ^(c) Faculté des Sciences Semlalia, Université Cadi Ayyad, LPHEA-Marrakech; ^(d) Faculté des Sciences, Université Mohamed Premier and LPTPM, Oujda; ^(e) Faculté des sciences, Université Mohammed V, Rabat, Morocco

¹³⁸ DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l'Univers), CEA Saclay (Commissariat à l'Energie Atomique et aux Energies Alternatives), Gif-sur-Yvette, France

¹³⁹ Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz CA, United States of America

¹⁴⁰ Department of Physics, University of Washington, Seattle WA, United States of America

¹⁴¹ Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom

¹⁴² Department of Physics, Shinshu University, Nagano, Japan

¹⁴³ Department Physik, Universität Siegen, Siegen, Germany

¹⁴⁴ Department of Physics, Simon Fraser University, Burnaby BC, Canada

¹⁴⁵ SLAC National Accelerator Laboratory, Stanford CA, United States of America

¹⁴⁶ ^(a) Faculty of Mathematics, Physics & Informatics, Comenius University, Bratislava; ^(b) Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic

¹⁴⁷ ^(a) Department of Physics, University of Cape Town, Cape Town; ^(b) Department of Physics, University of Johannesburg, Johannesburg; ^(c) School of Physics, University of the Witwatersrand, Johannesburg, South Africa

¹⁴⁸ ^(a) Department of Physics, Stockholm University; ^(b) The Oskar Klein Centre, Stockholm, Sweden

¹⁴⁹ Physics Department, Royal Institute of Technology, Stockholm, Sweden

¹⁵⁰ Departments of Physics & Astronomy and Chemistry, Stony Brook University, Stony Brook NY, United States of America

¹⁵¹ Department of Physics and Astronomy, University of Sussex, Brighton, United Kingdom

¹⁵² School of Physics, University of Sydney, Sydney, Australia

¹⁵³ Institute of Physics, Academia Sinica, Taipei, Taiwan

¹⁵⁴ Department of Physics, Technion: Israel Institute of Technology, Haifa, Israel

¹⁵⁵ Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel

¹⁵⁶ Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece

¹⁵⁷ International Center for Elementary Particle Physics and Department of Physics, The University of Tokyo, Tokyo, Japan

¹⁵⁸ Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan

¹⁵⁹ Department of Physics, Tokyo Institute of Technology, Tokyo, Japan

¹⁶⁰ Tomsk State University, Tomsk, Russia

¹⁶¹ Department of Physics, University of Toronto, Toronto ON, Canada

¹⁶² ^(a) INFN-TIFPA; ^(b) University of Trento, Trento, Italy

¹⁶³ ^(a) TRIUMF, Vancouver BC; ^(b) Department of Physics and Astronomy, York University, Toronto ON, Canada

¹⁶⁴ Faculty of Pure and Applied Sciences, and Center for Integrated Research in Fundamental Science and Engineering, University of Tsukuba, Tsukuba, Japan

¹⁶⁵ Department of Physics and Astronomy, Tufts University, Medford MA, United States of America

¹⁶⁶ Department of Physics and Astronomy, University of California Irvine, Irvine CA, United States of America

- ¹⁶⁷ (a) INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine; (b) ICTP, Trieste; (c) Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine, Italy
- ¹⁶⁸ Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
- ¹⁶⁹ Department of Physics, University of Illinois, Urbana IL, United States of America
- ¹⁷⁰ Instituto de Fisica Corpuscular (IFIC), Centro Mixto Universidad de Valencia - CSIC, Spain
- ¹⁷¹ Department of Physics, University of British Columbia, Vancouver BC, Canada
- ¹⁷² Department of Physics and Astronomy, University of Victoria, Victoria BC, Canada
- ¹⁷³ Department of Physics, University of Warwick, Coventry, United Kingdom
- ¹⁷⁴ Waseda University, Tokyo, Japan
- ¹⁷⁵ Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel
- ¹⁷⁶ Department of Physics, University of Wisconsin, Madison WI, United States of America
- ¹⁷⁷ Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany
- ¹⁷⁸ Fakultät für Mathematik und Naturwissenschaften, Fachgruppe Physik, Bergische Universität Wuppertal, Wuppertal, Germany
- ¹⁷⁹ Department of Physics, Yale University, New Haven CT, United States of America
- ¹⁸⁰ Yerevan Physics Institute, Yerevan, Armenia
- ¹⁸¹ Centre de Calcul de l'Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne, France
- ¹⁸² Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan
- ^a Also at Department of Physics, King's College London, London, United Kingdom
- ^b Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan
- ^c Also at Novosibirsk State University, Novosibirsk, Russia
- ^d Also at TRIUMF, Vancouver BC, Canada
- ^e Also at Department of Physics & Astronomy, University of Louisville, Louisville, KY, United States of America
- ^f Also at Physics Department, An-Najah National University, Nablus, Palestine
- ^g Also at Department of Physics, California State University, Fresno CA, United States of America
- ^h Also at Department of Physics, University of Fribourg, Fribourg, Switzerland
- ⁱ Also at II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
- ^j Also at Departament de Fisica de la Universitat Autònoma de Barcelona, Barcelona, Spain
- ^k Also at Departamento de Fisica e Astronomia, Faculdade de Ciencias, Universidade do Porto, Portugal
- ^l Also at Tomsk State University, Tomsk, and Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia
- ^m Also at The Collaborative Innovation Center of Quantum Matter (CICQM), Beijing, China
- ⁿ Also at Università di Napoli Parthenope, Napoli, Italy
- ^o Also at Institute of Particle Physics (IPP), Canada
- ^p Also at Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest, Romania
- ^q Also at Department of Physics, St. Petersburg State Polytechnical University, St. Petersburg, Russia
- ^r Also at Borough of Manhattan Community College, City University of New York, New York City, United States of America
- ^s Also at Department of Financial and Management Engineering, University of the Aegean, Chios, Greece
- ^t Also at Centre for High Performance Computing, CSIR Campus, Rosebank, Cape Town, South Africa
- ^u Also at Louisiana Tech University, Ruston LA, United States of America
- ^v Also at Institutio Catalana de Recerca i Estudis Avancats, ICREA, Barcelona, Spain
- ^w Also at Department of Physics, The University of Michigan, Ann Arbor MI, United States of America
- ^x Also at Graduate School of Science, Osaka University, Osaka, Japan

^y Also at Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg, Germany

^z Also at Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands

^{aa} Also at Department of Physics, The University of Texas at Austin, Austin TX, United States of America

^{ab} Also at Institute of Theoretical Physics, Ilia State University, Tbilisi, Georgia

^{ac} Also at CERN, Geneva, Switzerland

^{ad} Also at Georgian Technical University (GTU), Tbilisi, Georgia

^{ae} Also at Ochadai Academic Production, Ochanomizu University, Tokyo, Japan

^{af} Also at Manhattan College, New York NY, United States of America

^{ag} Also at The City College of New York, New York NY, United States of America

^{ah} Also at Departamento de Fisica Teorica y del Cosmos, Universidad de Granada, Granada, Portugal

^{ai} Also at Department of Physics, California State University, Sacramento CA, United States of America

^{aj} Also at Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia

^{ak} Also at Departement de Physique Nucleaire et Corpusculaire, Université de Genève, Geneva, Switzerland

^{al} Also at Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Barcelona, Spain

^{am} Also at School of Physics, Sun Yat-sen University, Guangzhou, China

^{an} Also at Institute for Nuclear Research and Nuclear Energy (INRNE) of the Bulgarian Academy of Sciences, Sofia, Bulgaria

^{ao} Also at Faculty of Physics, M.V.Lomonosov Moscow State University, Moscow, Russia

^{ap} Also at National Research Nuclear University MEPhI, Moscow, Russia

^{aq} Also at Department of Physics, Stanford University, Stanford CA, United States of America

^{ar} Also at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest, Hungary

^{as} Also at Giresun University, Faculty of Engineering, Turkey

^{at} Also at CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France

^{au} Also at Department of Physics, Nanjing University, Jiangsu, China

^{av} Also at Institute of Physics, Academia Sinica, Taipei, Taiwan

^{aw} Also at University of Malaya, Department of Physics, Kuala Lumpur, Malaysia

^{ax} Also at LAL, Univ. Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France

* Deceased